

# Overcome of Endocrine Resistance

**Aichi Cancer Center  
Hiroji Iwata**



2019 GBCC 2019.4.25

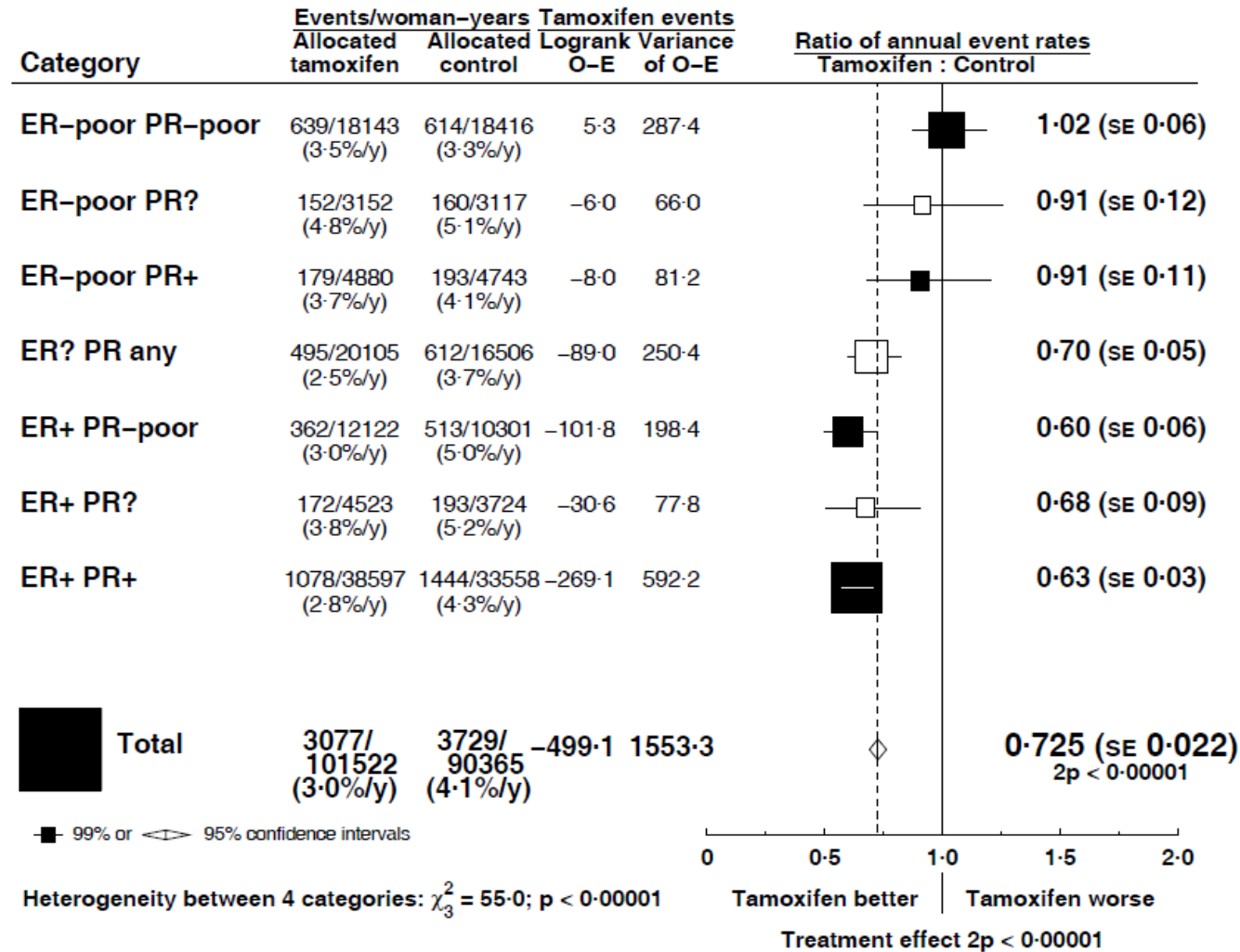


# **Two topics in this presentation**

- **Endocrine resistance for early breast cancer (response guide therapy)**
- **Endocrine resistance for advanced/metastatic breast cancer (new drug development)**

# Resistance and responsibility for endocrine therapy

RECURRENCE in trials of tamoxifen for about 5 years versus the same management, but no tamoxifen



*Lancet* 2011; 378: 771-84

**ER status** is predictive marker of endocrine therapy

**Is endocrine therapy responsive  
in all breast cancer patients  
with ER positive?**

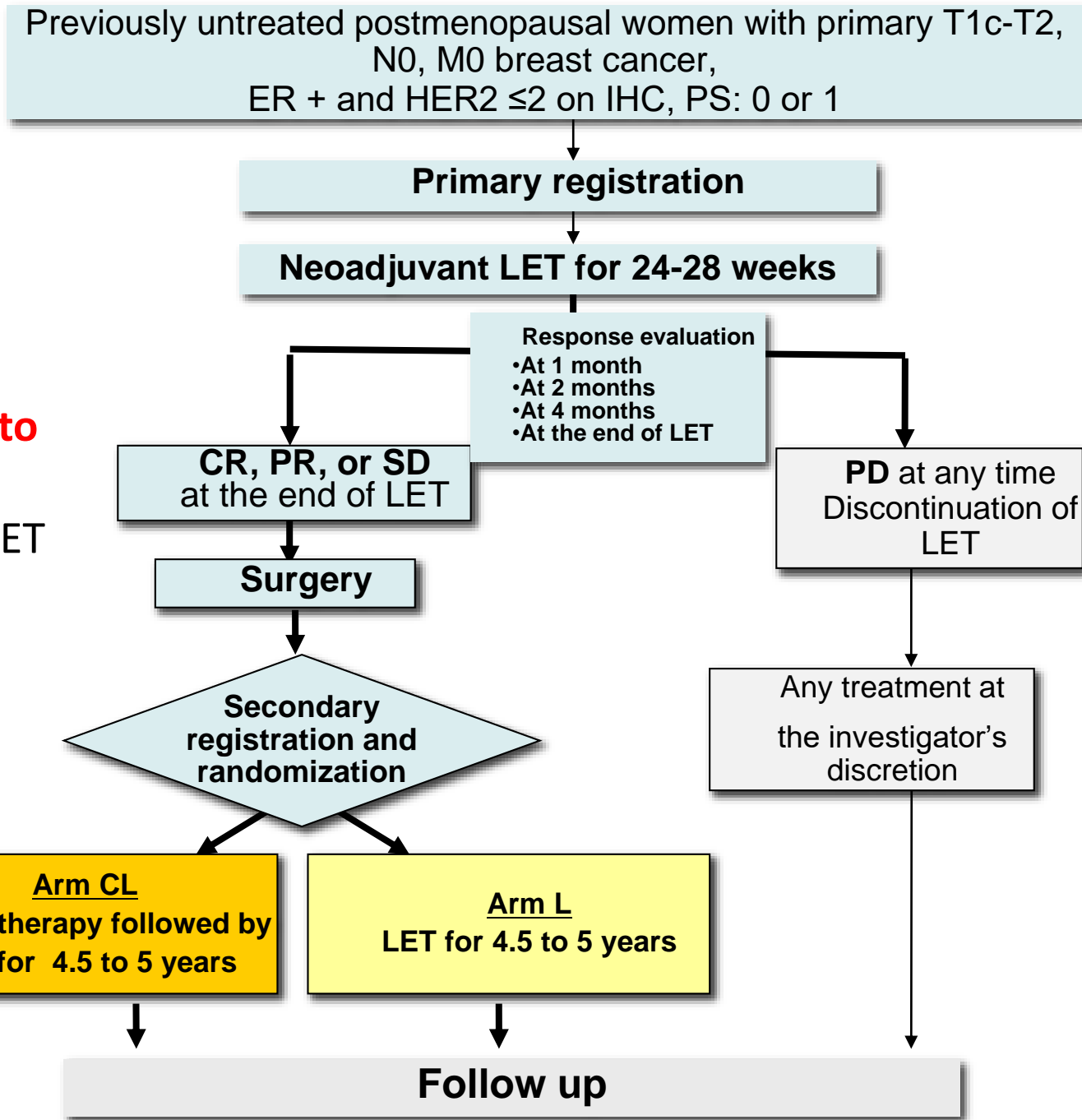
**Yes or **No****

# NEOS Study design

Primary endpoint : DFS at each CL and L

Secondary endpoints:

- OS at each arms
- **Percentage of patients clinically responding to neoadjuvant LET**
- Histological tumor response to neoadjuvant LET
- Percentage of patients undergoing breast-conserving surgery
- DFS/OS in patients showing CR, PR, SD or PD response to neoadjuvant LET
- Safety
- HRQOL
- Cost-effectiveness



# Results: Clinical response

Clinical response to NET was defined as follows:

**CR:** target tumor has disappeared or completely undergone tumor-related secondary changes

**PR:** largest diameter of the target tumor reduced by  $\geq 30\%$  from baseline

**SD:** largest diameter of the target tumor by  $< 30\%$  or increased by  $< 20\%$  from baseline

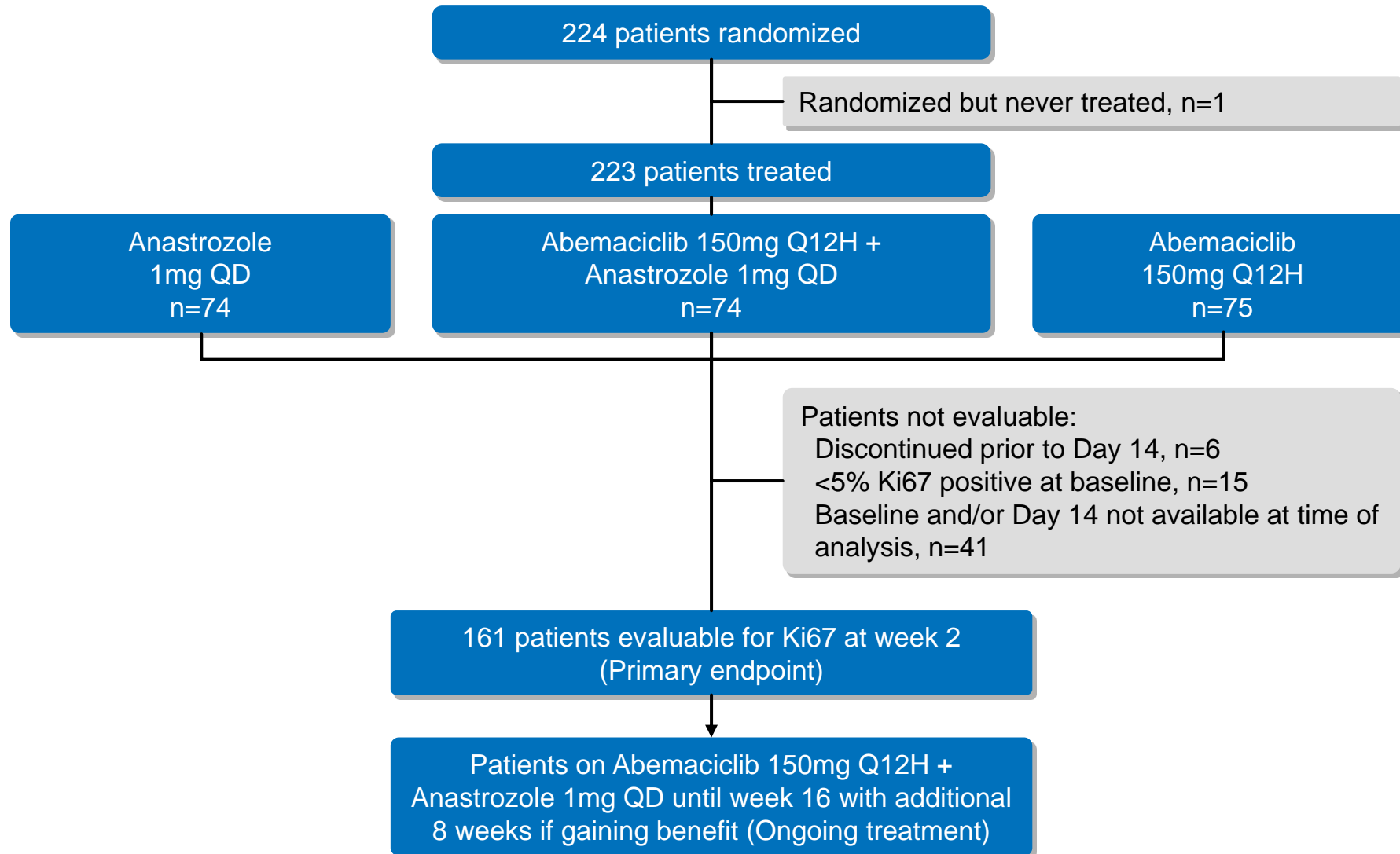
**PD:** largest diameter of the target tumor increased by  $\geq 20\%$  from baseline

The treatment duration of NET (LET): median 179 days

The treatment duration of NET in PD cases: median 109 days (27-254 days)

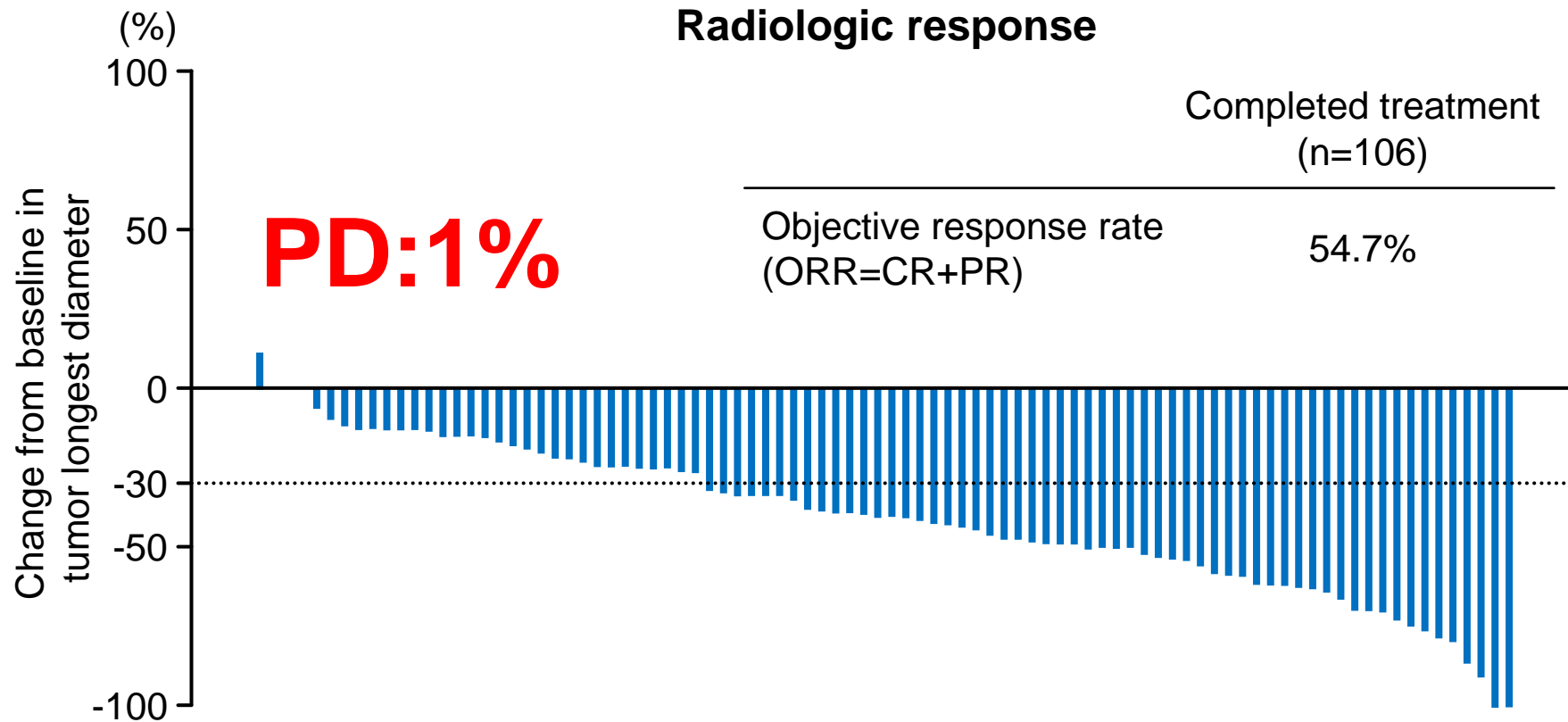
|          | n   | %   |
|----------|-----|-----|
| CR       | 16  | 2   |
| PR       | 421 | 48  |
| SD       | 403 | 45  |
| PD       | 43  | 5   |
| CR+PR    | 437 | 50  |
| CR+PR+SD | 840 | 95  |
| Total    | 883 | 100 |

# neoMONARCH consort diagram



Abbreviations: Q12H=every 12 hours; QD=once daily

# neoMONARCH RECIST response data over time

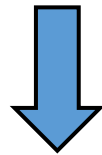


- At time of analysis:
  - Complete pathologic response in three (3.2%) of 95 patients that underwent surgery.
  - One patient discontinued therapy for progressive disease (20.7% change from baseline in tumor size at week 12).



# Primary resistance for ER+, HER2 -ve PBC

The frequency of resistance  
by **endocrine therapy alone** : **5%**



The frequency of resistance  
by **endocrine + CDK4/6i**: **1%**

**What is predictive marker of primary resistance by endocrine therapy alone?**

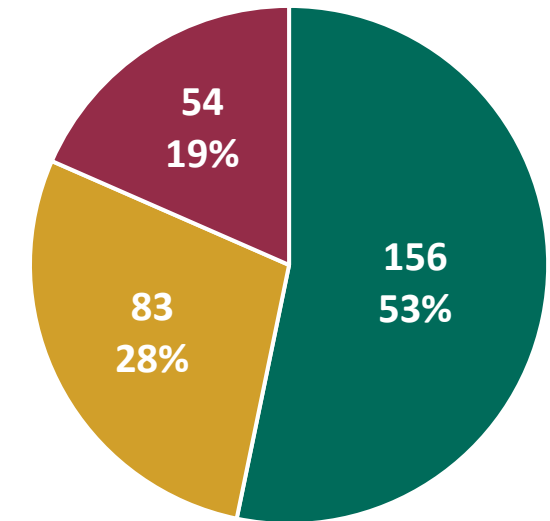
# TransNEOS study

## Patient Demographics and Disease Characteristics

| Variable        | Statistic      | N=294            |
|-----------------|----------------|------------------|
| Age, y          | Median (range) | 63 (49-75)       |
|                 | <50            | 1 (<1.0%)        |
|                 | 50 to 60       | 93 (31.6%)       |
|                 | >60 to 70      | 168 (57.1%)      |
|                 | >70            | 32 (10.9%)       |
| T-stage         | T1c            | 44 (15.0%)       |
|                 | T2             | 250 (85.0%)      |
| Nuclear grade   | 1              | 194 (66.0%)      |
|                 | 2              | 59 (20.1%)       |
|                 | 3              | 27 (9.2%)        |
|                 | Missing        | 14 (4.8%)        |
| Tumor size, mm  | Median (range) | 25 (20-65)       |
| Ki-67 by IHC, % | Median (range) | 16.7 (0.0, 82.5) |
| Ki-67 category  | <10%           | 81 (27.6%)       |
|                 | 10% to 30%     | 115 (39.1%)      |
|                 | >30%           | 60 (20.4%)       |
|                 | Missing        | 38 (12.9%)       |

| Variable                | Statistic                     | N=294           |
|-------------------------|-------------------------------|-----------------|
| ER by RT-PCR            | Median (range)                | 11.7 (5.7-14.6) |
| ER category             | Positive ( $\geq 6.5$ )       | 292 (99.3%)     |
|                         | Negative ( $< 6.5$ )          | 2 (<1.0%)       |
| PR by RT-PCR            | Median (range)                | 7.1 (2.6-11.4)  |
| PR category             | Positive ( $\geq 5.5$ )       | 210 (71.4%)     |
|                         | Negative ( $< 5.5$ )          | 84 (28.6%)      |
| HER2 category           | Negative ( $< 10.7$ )         | 234 (79.6%)     |
|                         | Positive ( $\geq 11.5$ )      | 9 (3.1%)        |
|                         | Equivocal (10.7 to $< 11.5$ ) | 51 (17.3%)      |
| Recurrence Score result | Median (range)                | 17 (0-68)       |

## Distribution of Patients by RS Group (n=294)

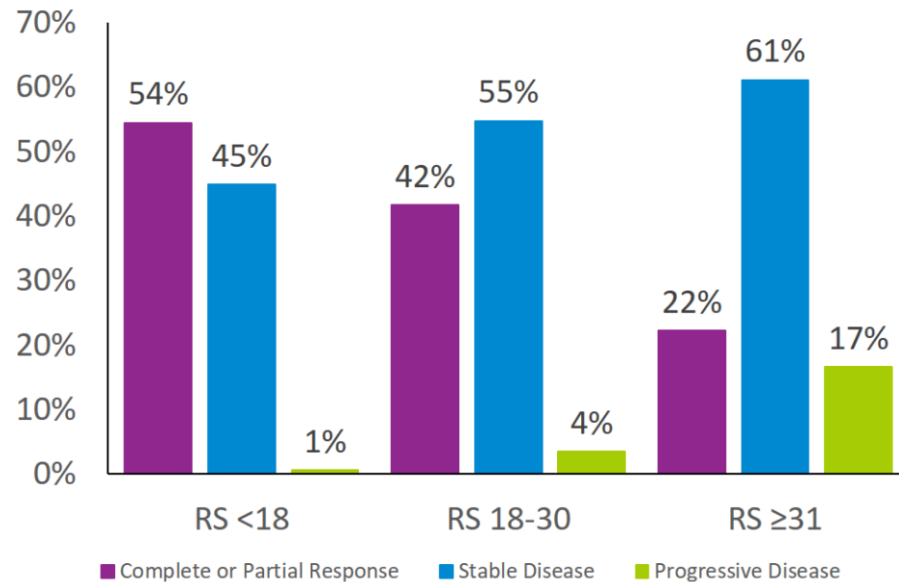


■ RS < 18 ■ RS 18-30 ■ RS  $\geq 31$

- Of 333 tumor samples submitted, 294 were eligible with evaluable RS results and clinical response data.
- For the patients included in the TransNEOS study (N=294), 53% had RS <18, 29% had RS 18-30, and 18% had RS  $\geq 31$ .

# Primary Analysis: Recurrence Score Group Is Associated With Rate of Clinical Response to NAHT

**Percent Clinical Response to NAHT by Recurrence Score Group (N=294)**



| Clinical response, n | RS <18 | RS 18-30 | RS ≥31 | Total |
|----------------------|--------|----------|--------|-------|
| CR + PR              | 85     | 35       | 12     | 132   |
| SD                   | 70     | 46       | 33     | 149   |
| PD                   | 1      | 3        | 9      | 13    |
| Total                | 156    | 84       | 54     | 294   |

Primary Pre-Specified Endpoint was met:

- Recurrence Score group (RS<18 vs RS≥31) was significantly associated with rate of clinical response (CR+PR) (chi-square test, p<0.001).
- With the RS 18-30 group included, RS group remained significantly associated with clinical response (Cochran-Armitage trend test, p<0.001).

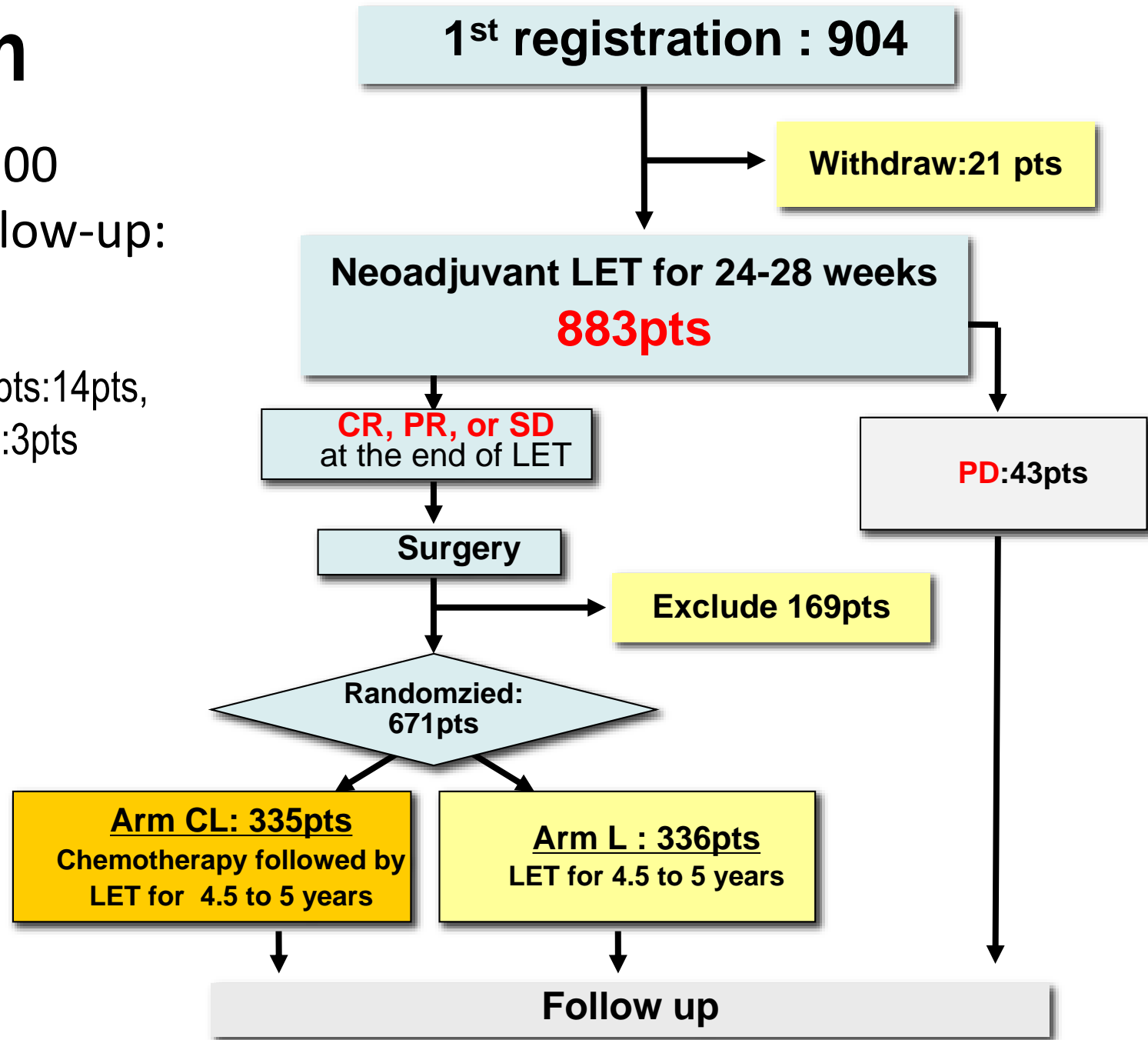
# NEOS Study design

May 2008 and June 2013 from 100 institutions in Japan (median follow-up: 5.9 years)

The reason of withdraw (21pts) : refused by pts:14pts, not eligible:2pts, transference:2pts, unknown:3pts

## Secondary endpoints:

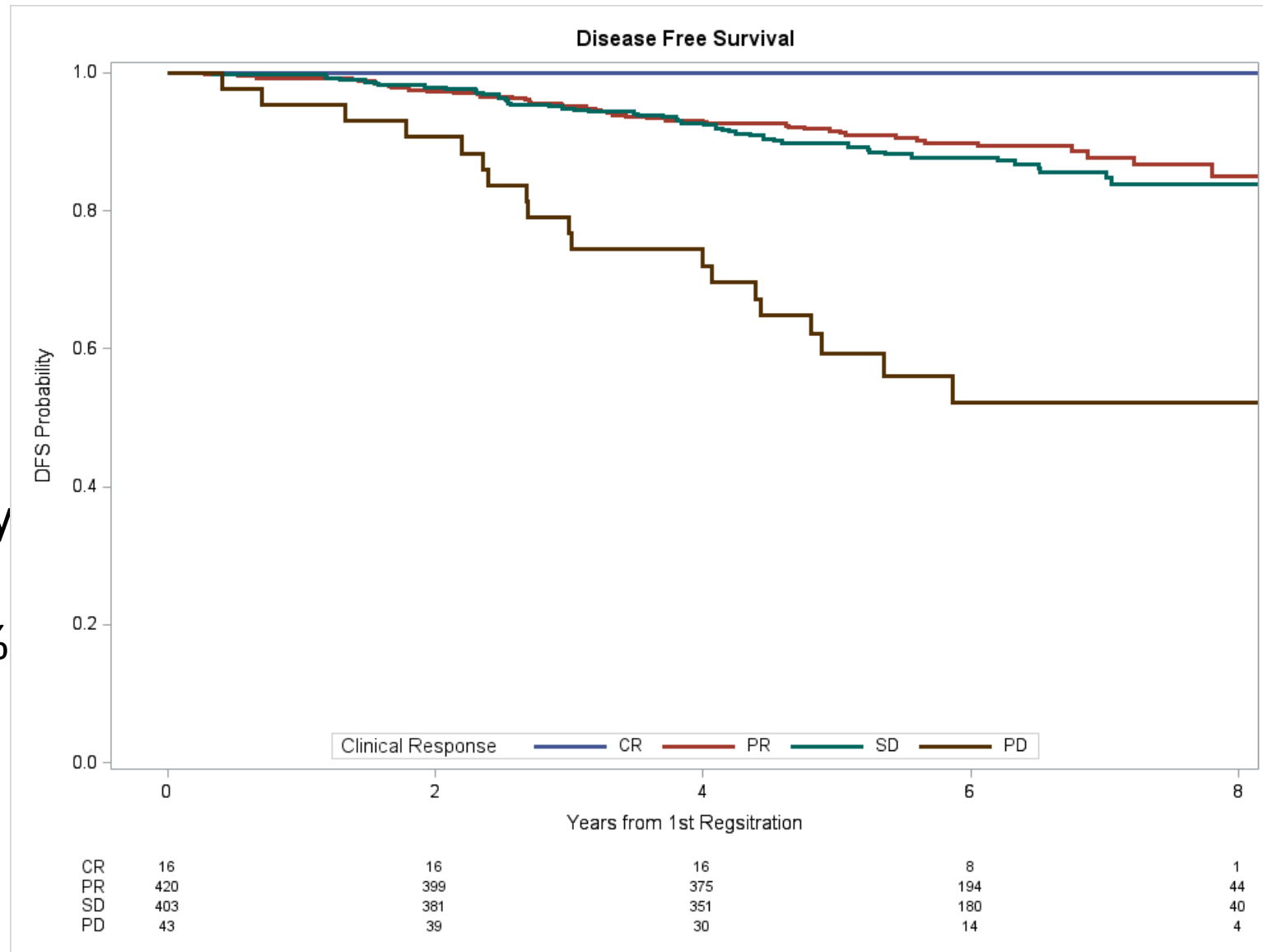
- Percentage of patients clinically responding to neoadjuvant LET
- DFS/OS in patients showing CR, PR, SD or PD response to neoadjuvant LET



# DFS IN EACH GROUPS ACCORDING TO CLINICAL RESPONSE

DFS is defined as the time from the date of primary enrollment until the date of the first event (recurrence in the ipsilateral preserved breast, the ipsilateral chest wall, the regional lymph node, or distant organ metastasis, or secondary cancer without cutaneous basal cell carcinoma/spindle cell carcinoma, and uterine carcinoma *in situ* or all-cause deaths)

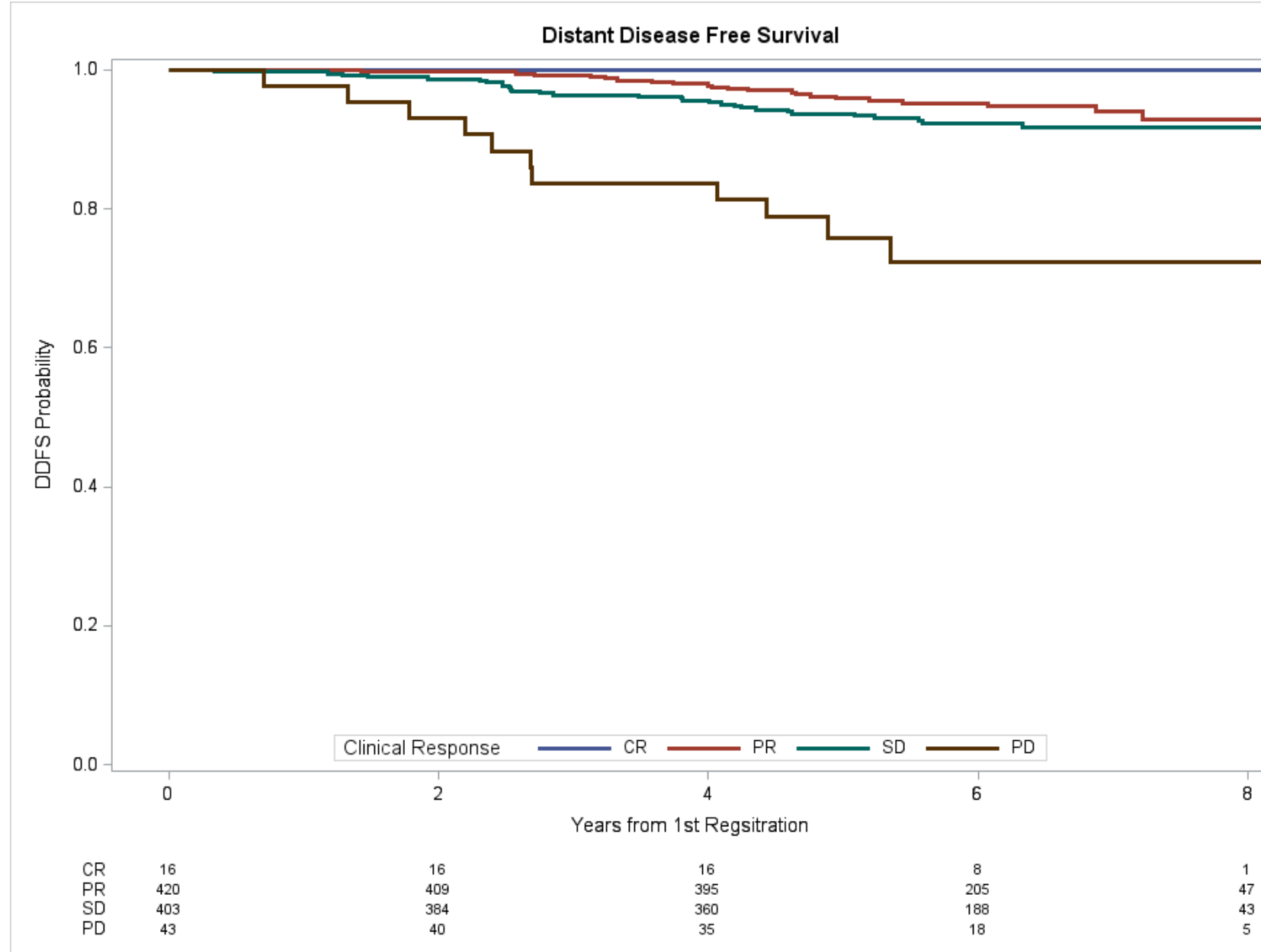
DFS in PD pts to NET were statistically significantly worse than CR, PR, SD pts ( $p < 0.0001$ , hazard ratio 4.73 (95% CI: 2.89-7.75)).



# DDFS IN EACH GROUPS ACCORDING TO CLINICAL RESPONSE

DDFS is defined as the time from the date of primary enrollment until the date of the first event in distant organ (including bone, liver, lung, et al)

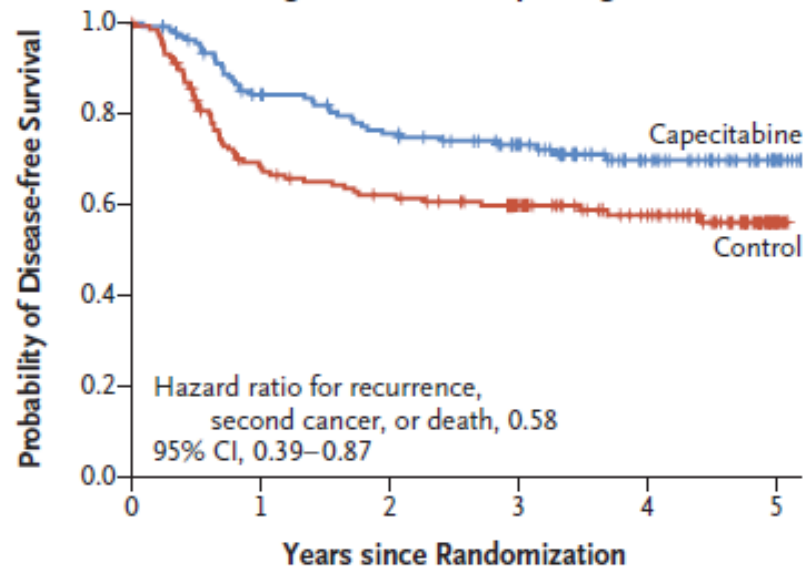
DDFS in PD pts to neoadjuvant ET were statistically significantly worse than CR, PR, SD pts ( $p < 0.001$ , hazard ratio 4.83 (95% CI:2.52-9.29)).



# Response guided therapy by neoadjuvant chemotherapy

## CREAT-X (TN)

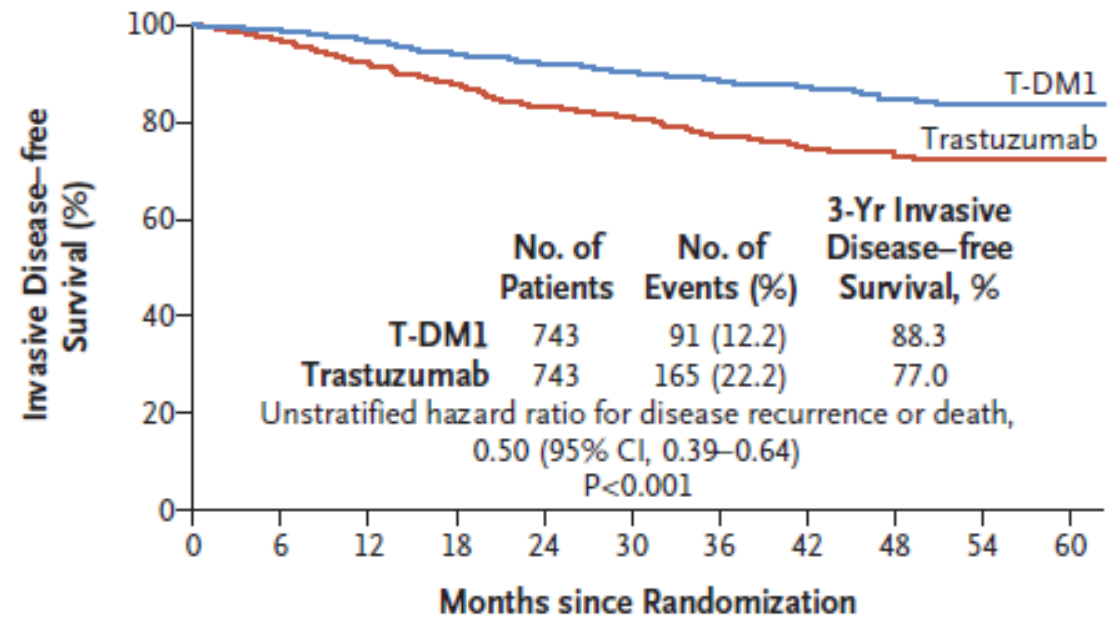
C Disease-free Survival among Patients with Triple-Negative Disease



| No. at Risk  | 0   | 1   | 2  | 3  | 4  | 5  |
|--------------|-----|-----|----|----|----|----|
| Capecitabine | 139 | 109 | 96 | 76 | 42 | 11 |
| Control      | 147 | 95  | 84 | 69 | 47 | 6  |

## KATHERINE (HER2)

A

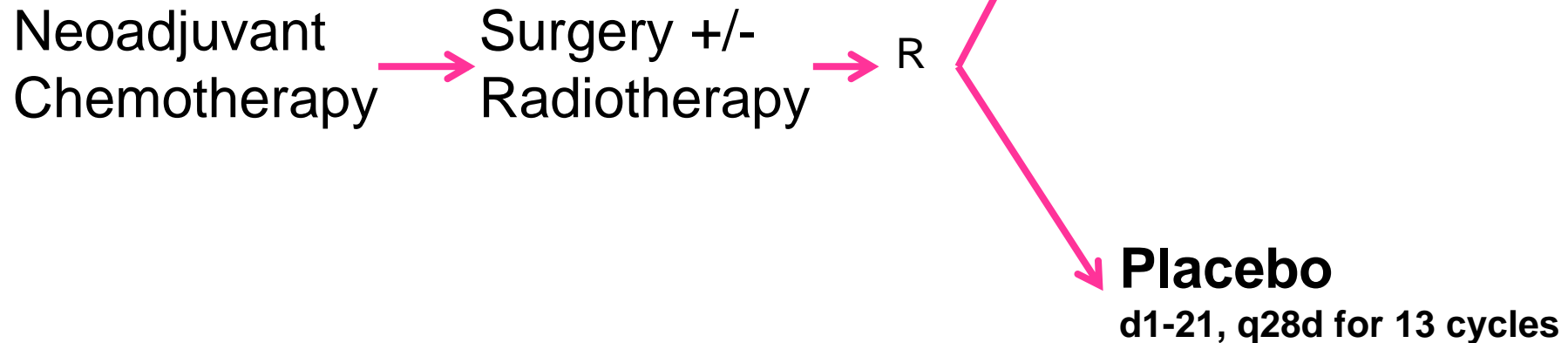




# Response guided therapy by neoadjuvant chemotherapy

## Penelope study

N=1250 pts. with  
HR+/HER2- breast cancer  
no pCR and  
CPS-EG score  $\geq 3$  :



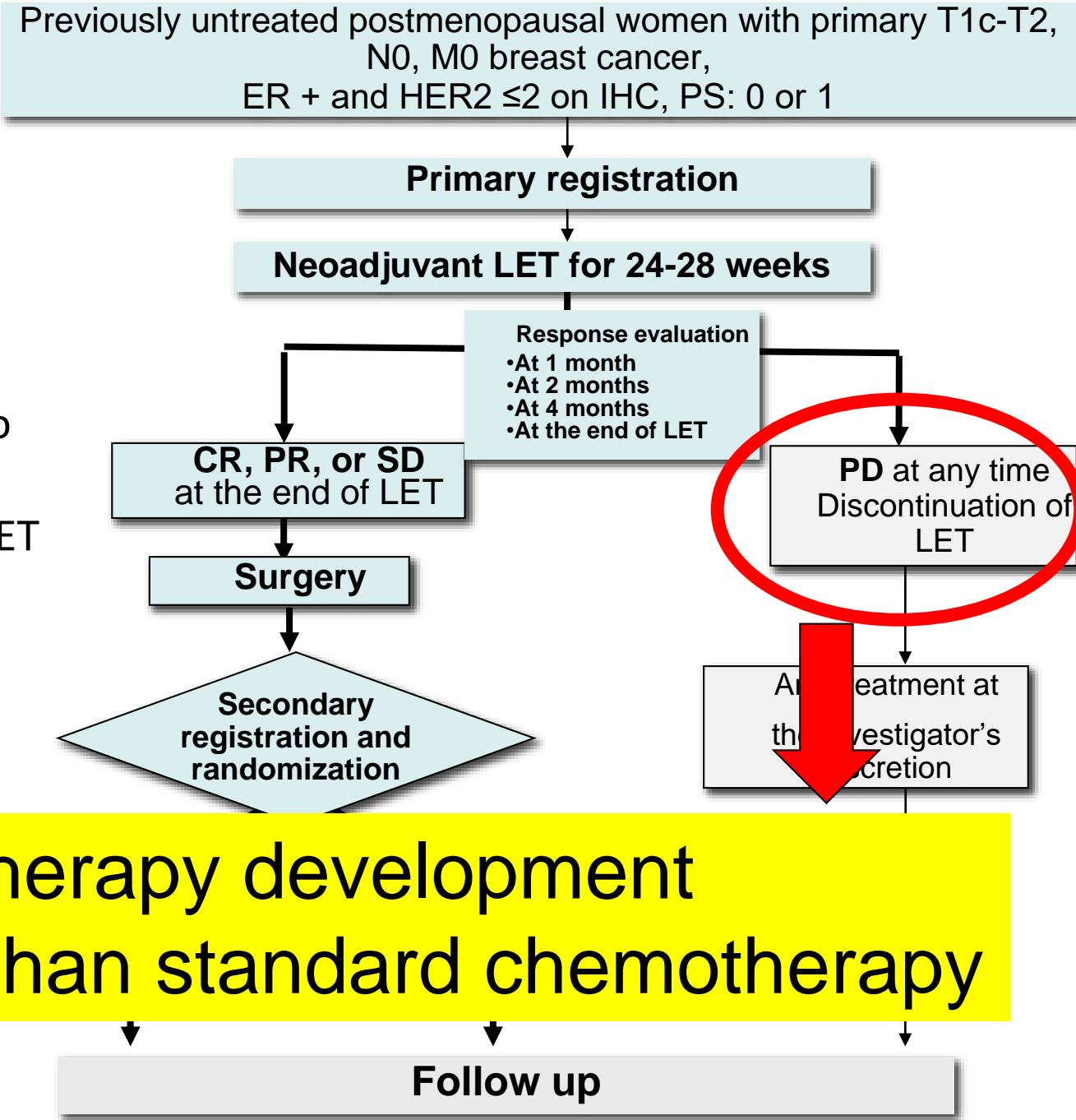
All patients will receive concomitantly endocrine therapy according to local standards

# NEOS Study design

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# NEOS Study design

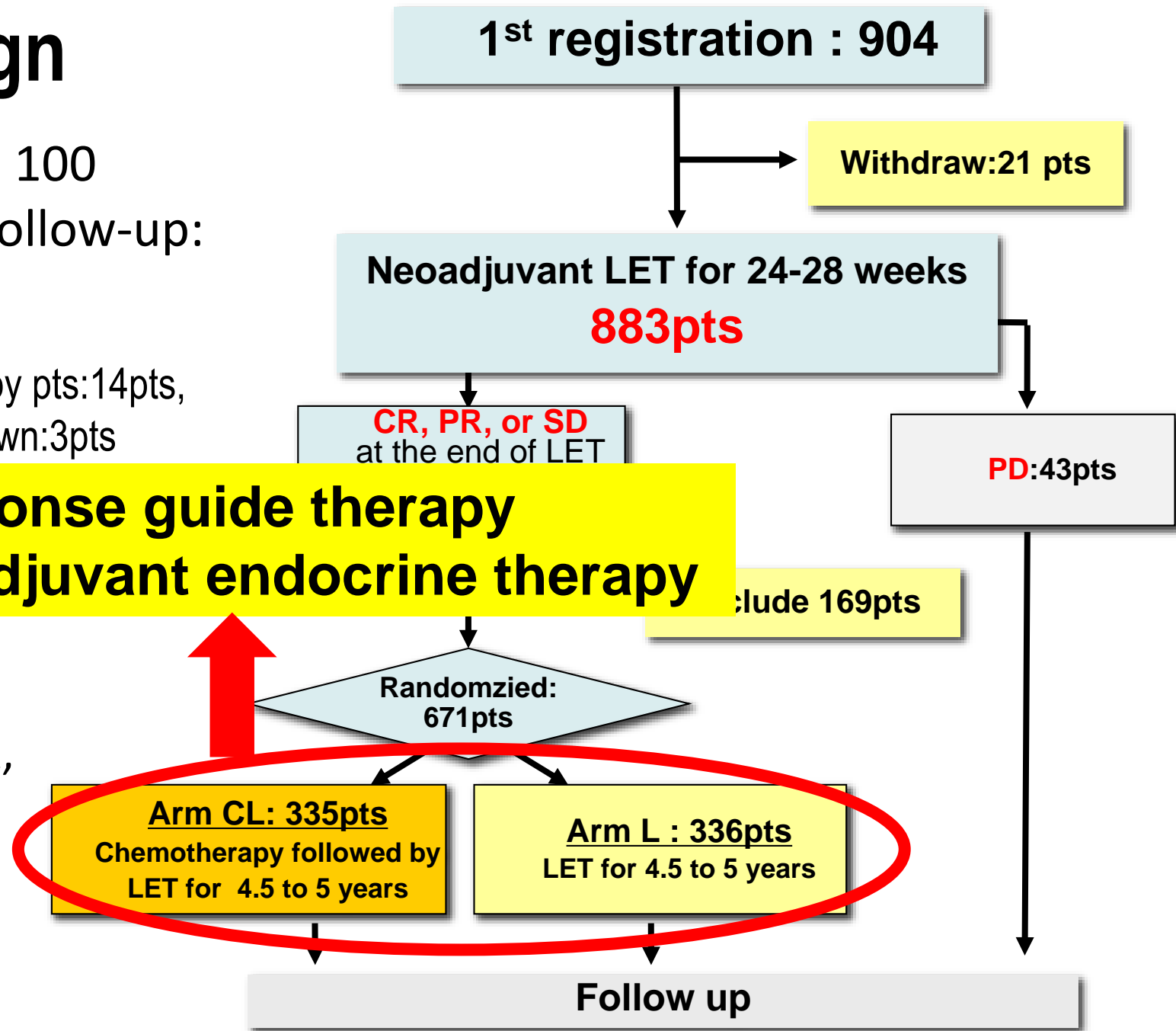
May 2008 and June 2013 from 100 institutions in Japan (median follow-up: 5.9 years)

The reason of withdraw (21pts) : refused by pts:14pts, not eligible:2pts, transference:2pts, unknown:3pts

Secondary endpoints

- Percentage of patients clinically responding to neoadjuvant LET
- DFS/OS in patients showing CR, PR, SD or PD response to neoadjuvant LET

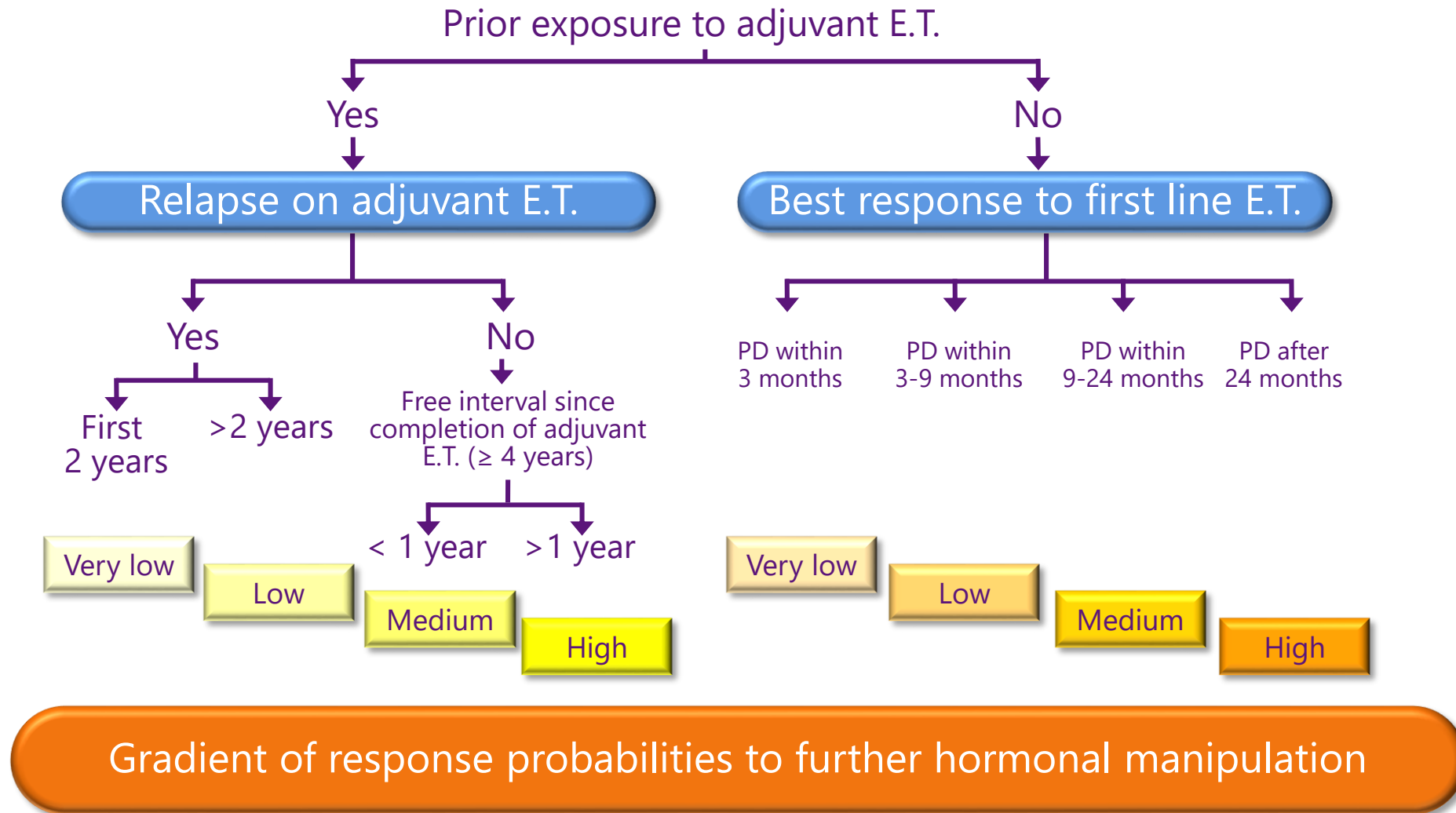
**Response guide therapy using neoadjuvant endocrine therapy**



# **Two topics in this presentation**

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- **Endocrine resistance for advanced/metastatic breast cancer (new drug development)**

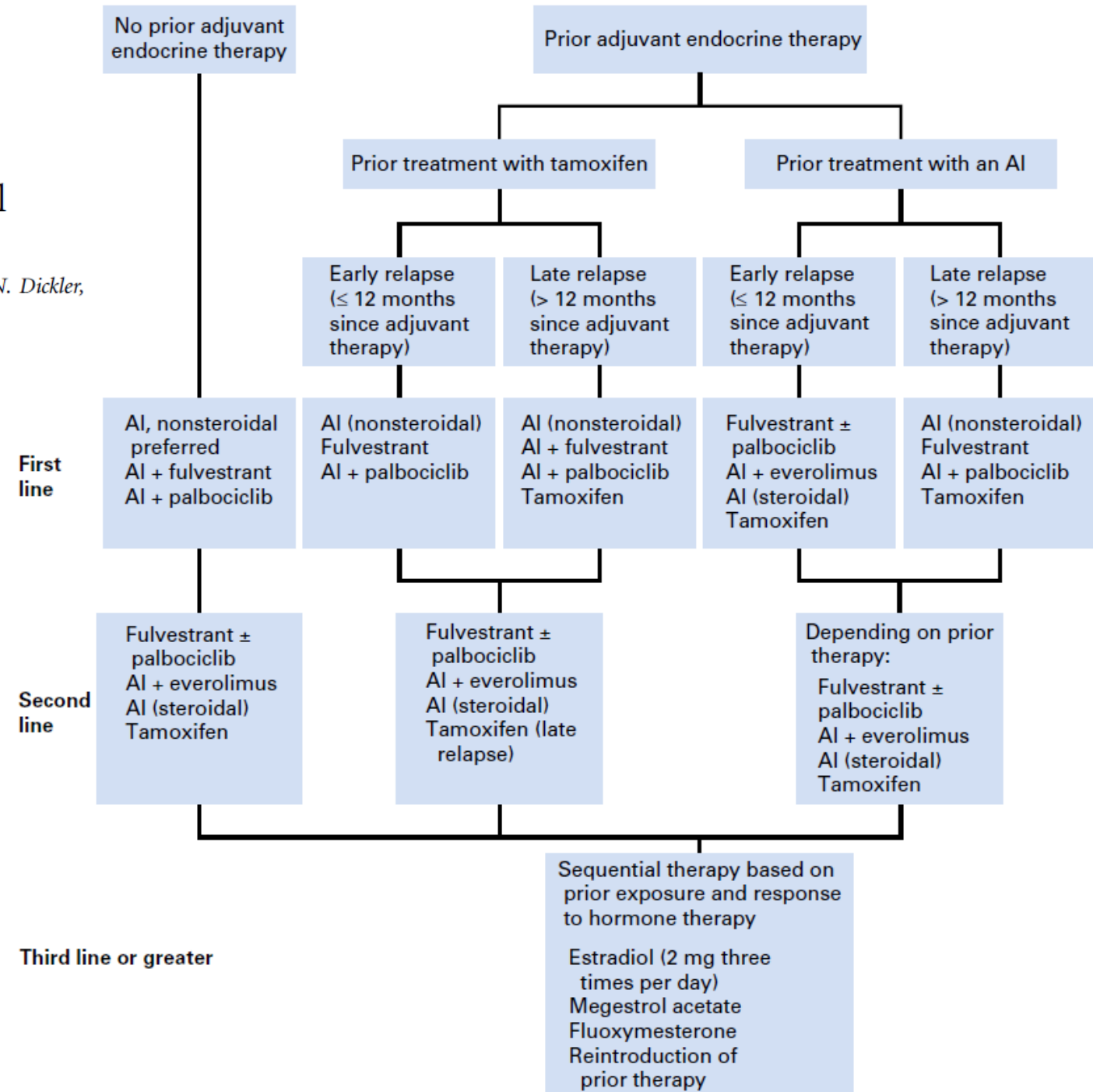
# The responsibility of endocrine therapy for MBC



# Endocrine Therapy for Hormone Receptor–Positive Metastatic Breast Cancer: American Society of Clinical Oncology Guideline

Hope S. Rugo, R. Bryan Rumble, Erin Macrae, Debra L. Barton, Hannah Klein Connolly, Maura N. Dickler, Lesley Fallowfield, Barbara Fowble, James N. Ingle, Mohammad Jahanzeb, Stephen R.D. Johnston, Larissa A. Korde, James L. Khatcheressian, Rita S. Mehta, Hyman B. Muss, and Harold J. Burstein

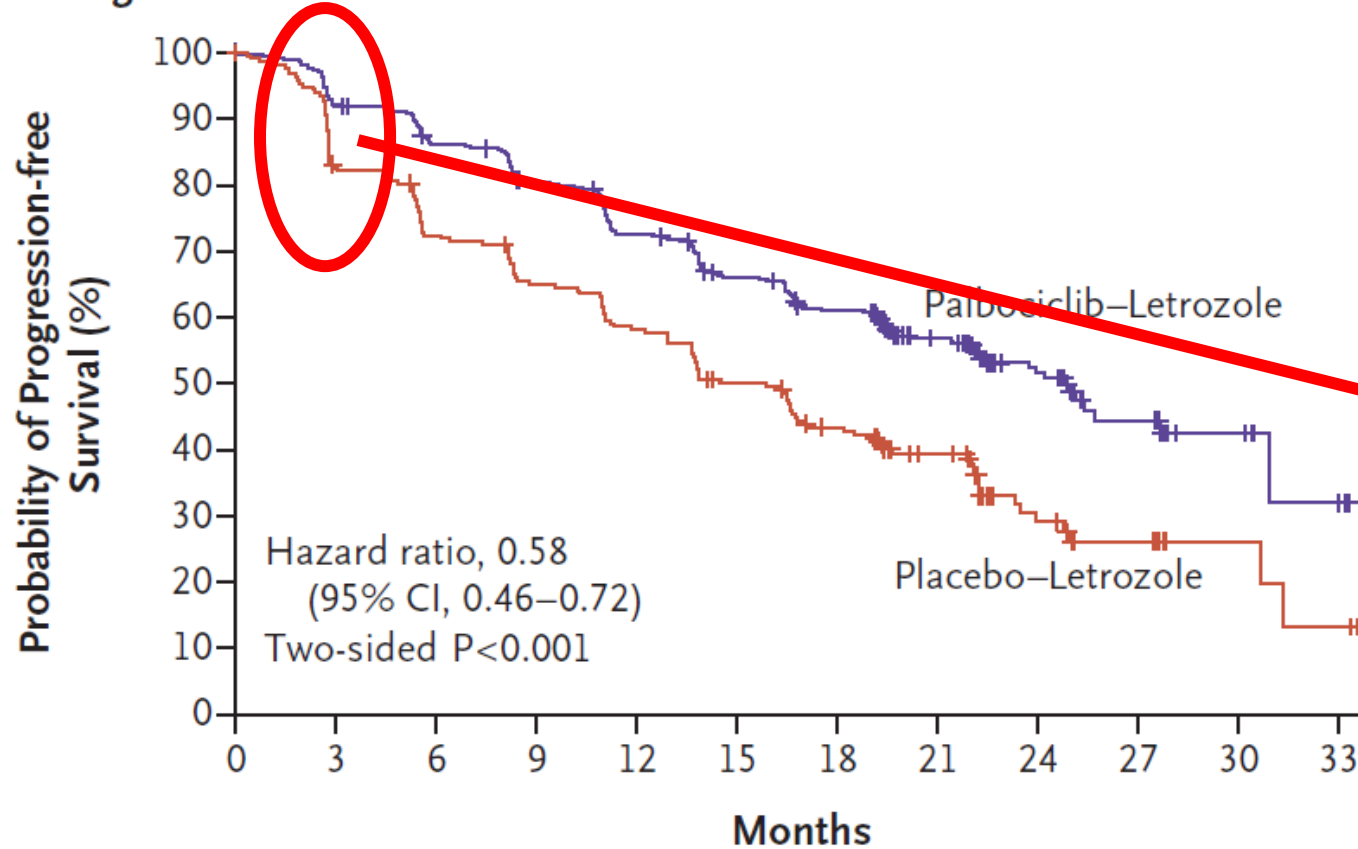
**Fig 1.** Hormone therapy for postmenopausal women with hormone receptor–positive metastatic breast cancer by line of therapy and adjuvant treatment. NOTE. Use of pal-



Palbociclib and Letrozole in Advanced Breast Cancer

Richard S. Finn, M.D., Miguel Martin, M.D., Hope S. Rugo, M.D., Stephen Jones, M.D., Seock-Ah Im, M.D., Ph.D., Karen Gelmon, M.D., Nadia Harbeck, M.D., Ph.D., Oleg N. Lipatov, M.D., Janice M. Walshe, M.D., Stacy Moulder, M.D., Eric Gauthier, Pharm.D., Ph.D., Dongrui R. Lu, M.Sc., Sophia Randolph, M.D., Ph.D., Véronique Diéras, M.D., and Dennis J. Slamon, M.D., Ph.D.

A Investigator Assessment



No. at Risk

|                           |     |     |     |     |     |     |     |     |    |    |    |   |
|---------------------------|-----|-----|-----|-----|-----|-----|-----|-----|----|----|----|---|
| Palbociclib–<br>Letrozole | 444 | 395 | 360 | 328 | 295 | 263 | 238 | 154 | 69 | 29 | 10 | 2 |
| Placebo–<br>Letrozole     | 222 | 171 | 148 | 131 | 116 | 98  | 81  | 54  | 22 | 12 | 4  | 2 |

**Primary resistant cases to LET  
(about 20%)**

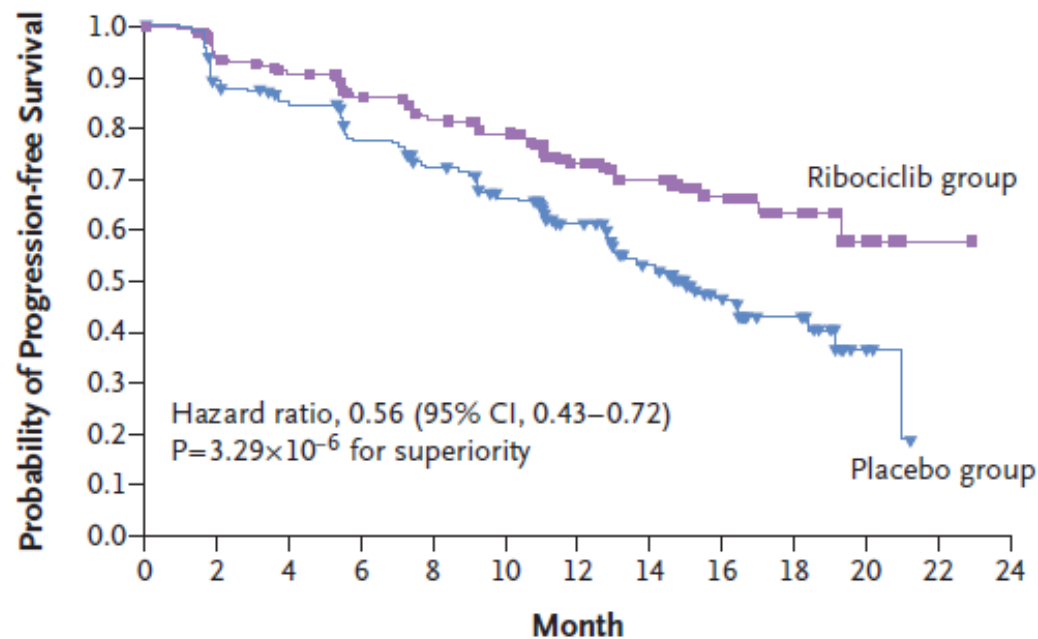


**Improve PFS by Palbociclib**

ORIGINAL ARTICLE

### Ribociclib as First-Line Therapy for HR-Positive, Advanced Breast Cancer

G.N. Hortobagyi, S.M. Stemmer, H.A. Burris, Y.-S. Yap, G.S. Sonke, S. Paluch-Shimon, M. Campone, K.L. Blackwell, F. André, E.P. Winer, W. Janni, S. Verma, P. Conte, C.L. Arteaga, D.A. Cameron, K. Petrakova, L.L. Hart, C. Villanueva, A. Chan, E. Jakobsen, A. Nusch, O. Burdaeva, E.-M. Grischke, E. Alba, E. Wist, N. Marschner, A.M. Favret, D. Yardley, T. Bachelot, L.-M. Tseng, S. Blau, F. Xuan, F. Souami, M. Miller, C. Germa, S. Hirawat, and J. O'Shaughnessy



No. at Risk

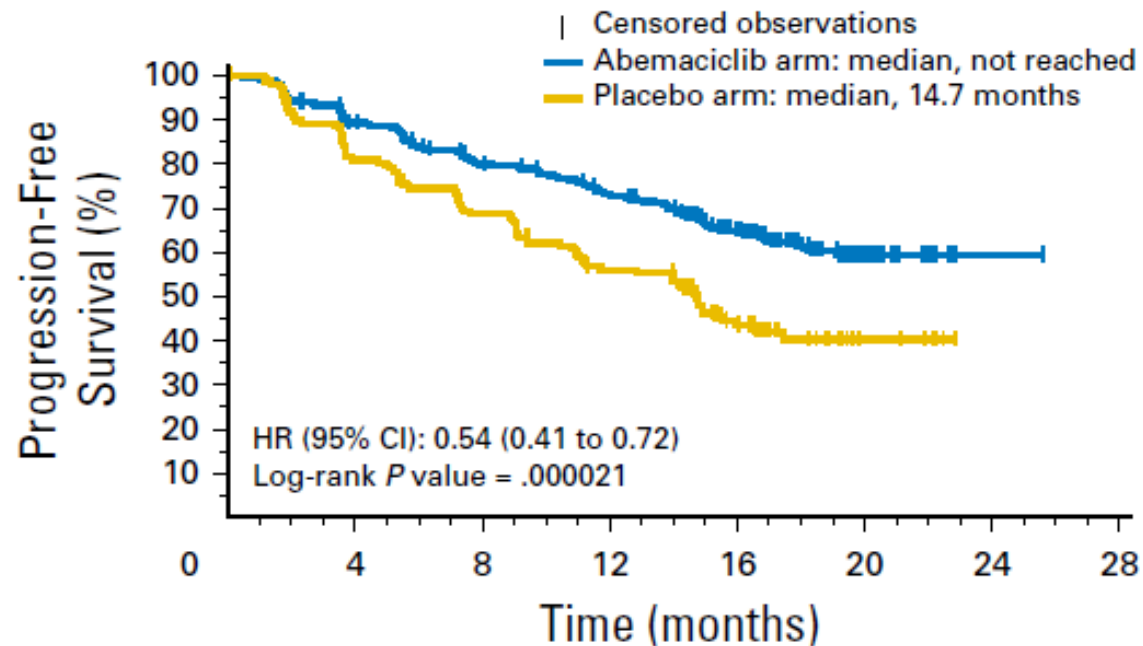
|            |     |     |     |     |     |     |     |     |    |    |   |   |   |
|------------|-----|-----|-----|-----|-----|-----|-----|-----|----|----|---|---|---|
| Ribociclib | 334 | 294 | 277 | 257 | 240 | 226 | 164 | 119 | 68 | 20 | 6 | 1 | 0 |
| Placebo    | 334 | 279 | 264 | 237 | 217 | 192 | 143 | 88  | 44 | 23 | 5 | 0 | 0 |

N Engl J Med 2016;375:1738-48.

### MONARCH 3: Abemaciclib As Initial Therapy for Advanced Breast Cancer

Matthew P. Goetz, Masakazu Toi, Mario Campone, Joohyuk Sohn, Shani Paluch-Shimon, Jens Huober, In Hae Park, Olivier Trédan, Shin-Cheh Chen, Luis Manso, Orit C. Freedman, Georgina Garnica Jaliffe, Tammy Forrester, Martin Frenzel, Susana Barriga, Ian C. Smith, Nawel Bourayou, and Angelo Di Leo

A



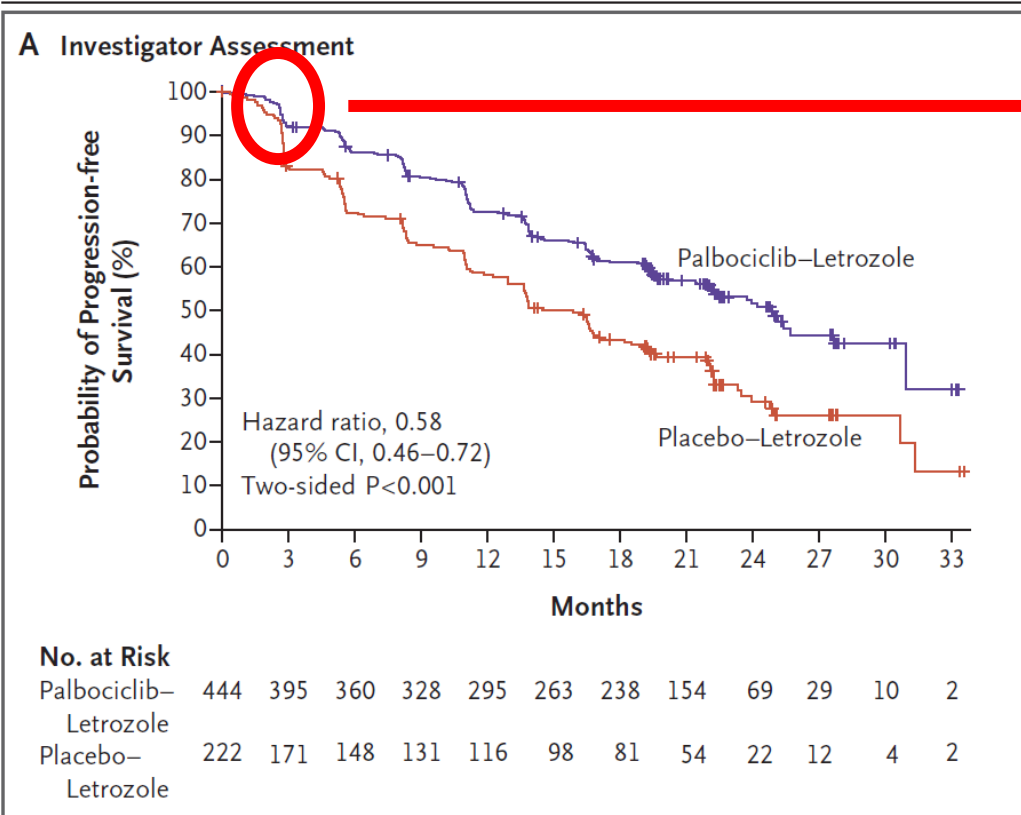
No. at risk:

|                 |     |     |     |     |     |    |   |   |
|-----------------|-----|-----|-----|-----|-----|----|---|---|
| Abemaciclib arm | 328 | 271 | 234 | 205 | 125 | 25 | 1 | 0 |
| Placebo arm     | 165 | 127 | 105 | 82  | 45  | 7  | 0 | 0 |

J Clin Oncol 35:3638-3646. © 2017

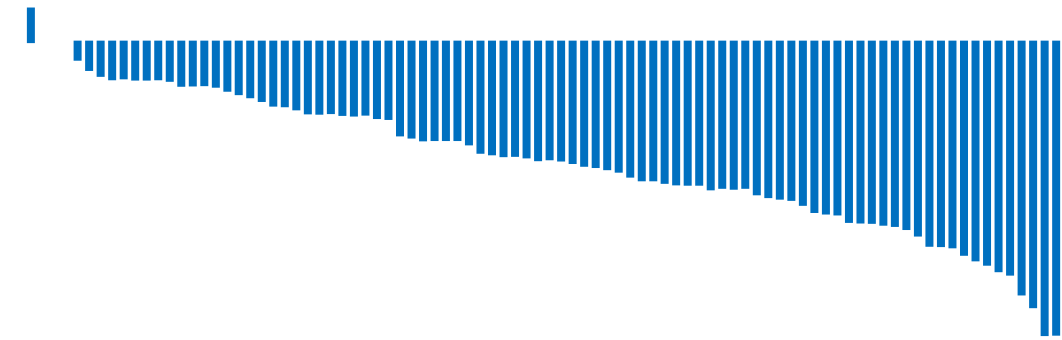


# Primary endocrine resistance



**Resistance to AI+Palbociclib  
(about 10%)**

Primary resistance cases to endocrine + CDK4/6 inhibitor is **only 1%** in neoMONARCH

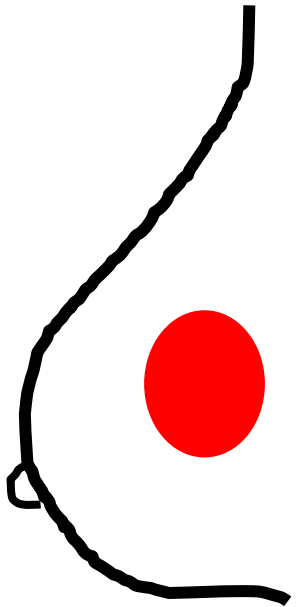


## Resistance cases

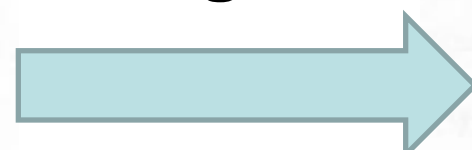
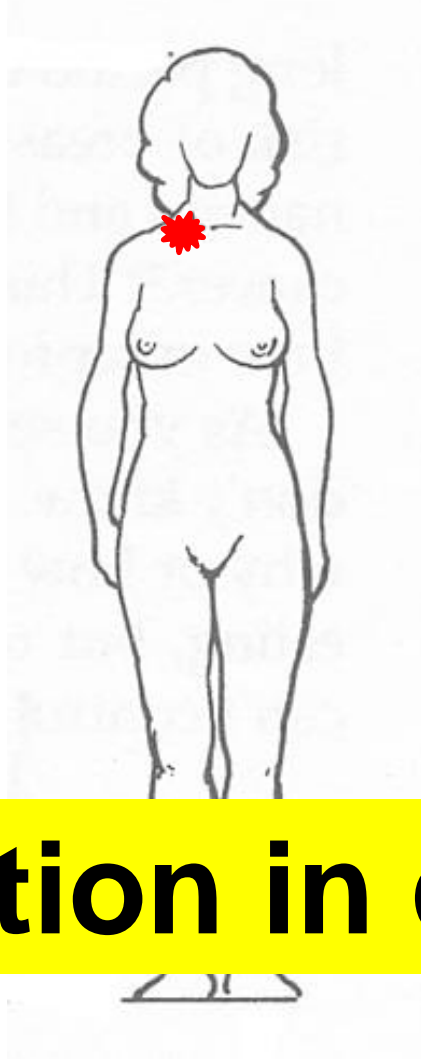
|     | Endocrine alone (AI) | AI + CDK4/6 inhibitor |
|-----|----------------------|-----------------------|
| PBC | 5%                   | 1%                    |
| MBC | 20%                  | 10%                   |

**What happen?**

**Primary**

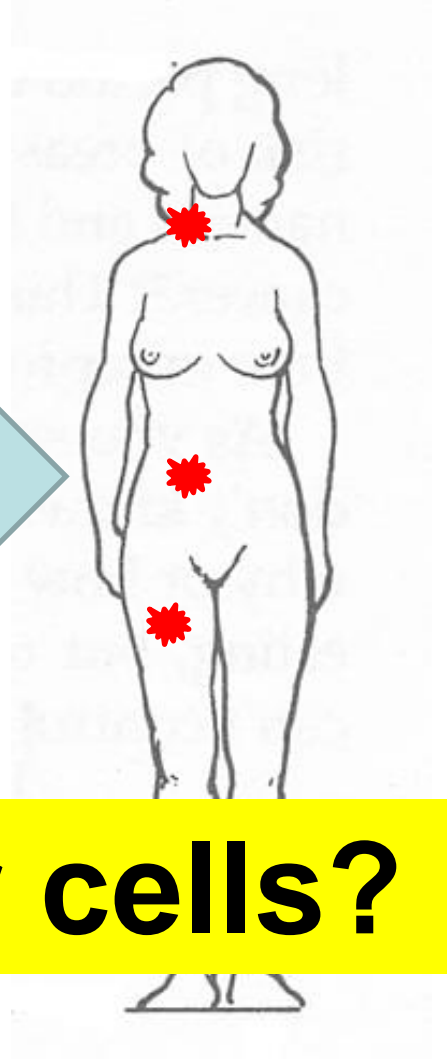


**Single metastatic**



**Progress**

**Multiple metastasis**

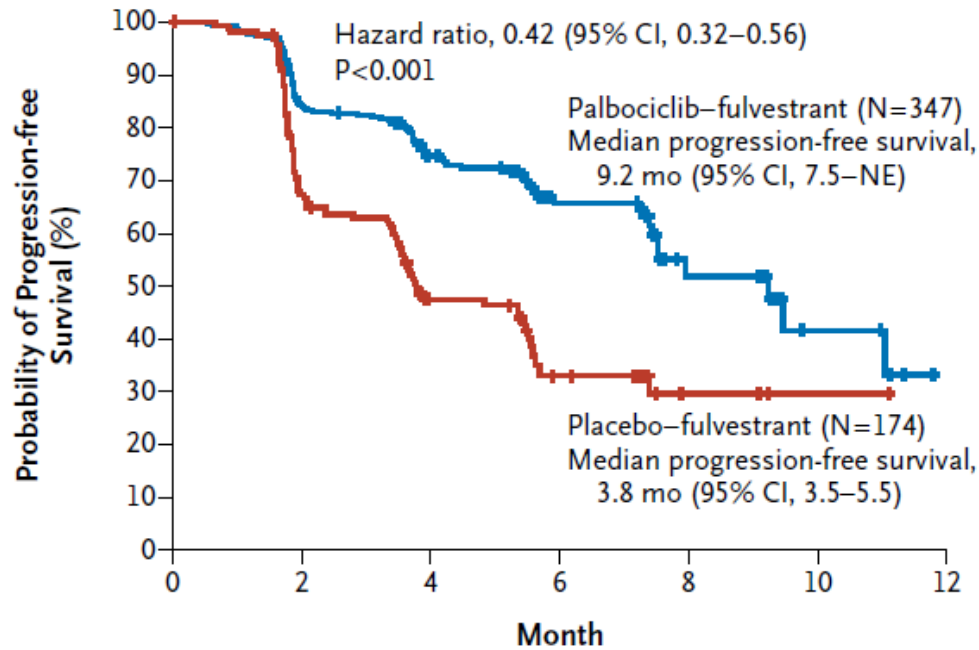


**Molecular alteration in cancer cells?**

# Acquired resistance (Paloma 3 study)

Target population : non steroidal AI (nsAI) resistance patients

A Assessment by Investigators



No. at Risk

|                         |     |     |     |    |    |   |
|-------------------------|-----|-----|-----|----|----|---|
| Palbociclib–fulvestrant | 347 | 279 | 132 | 59 | 16 | 6 |
| Placebo–fulvestrant     | 174 | 109 | 42  | 16 | 6  | 1 |

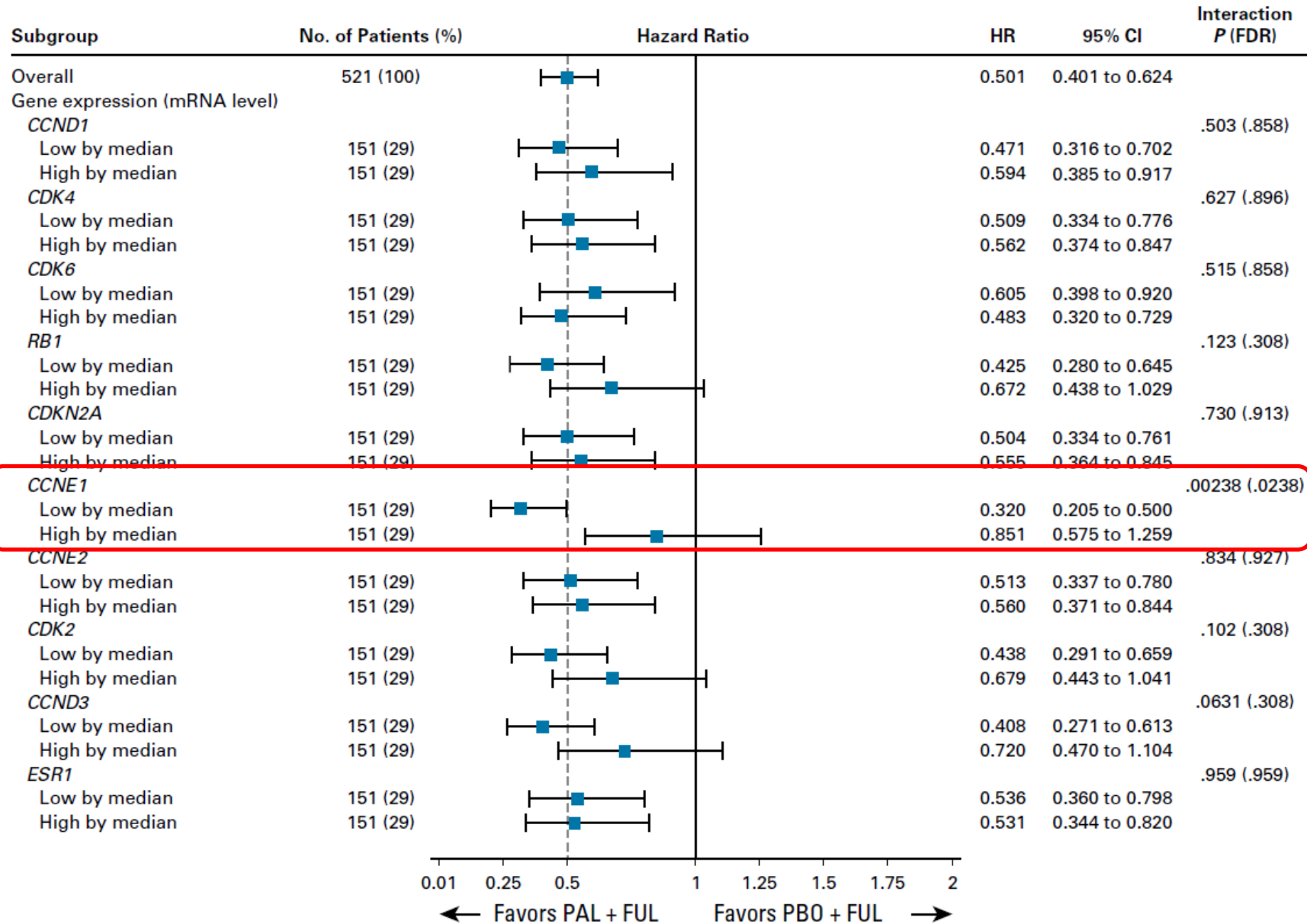
Resistance to FUL  
(about 40%)



Improve the PFS by Palbociclib  
(about 20%)

**What is predictive marker of Palbociclib for nsAI resistance patients ?**

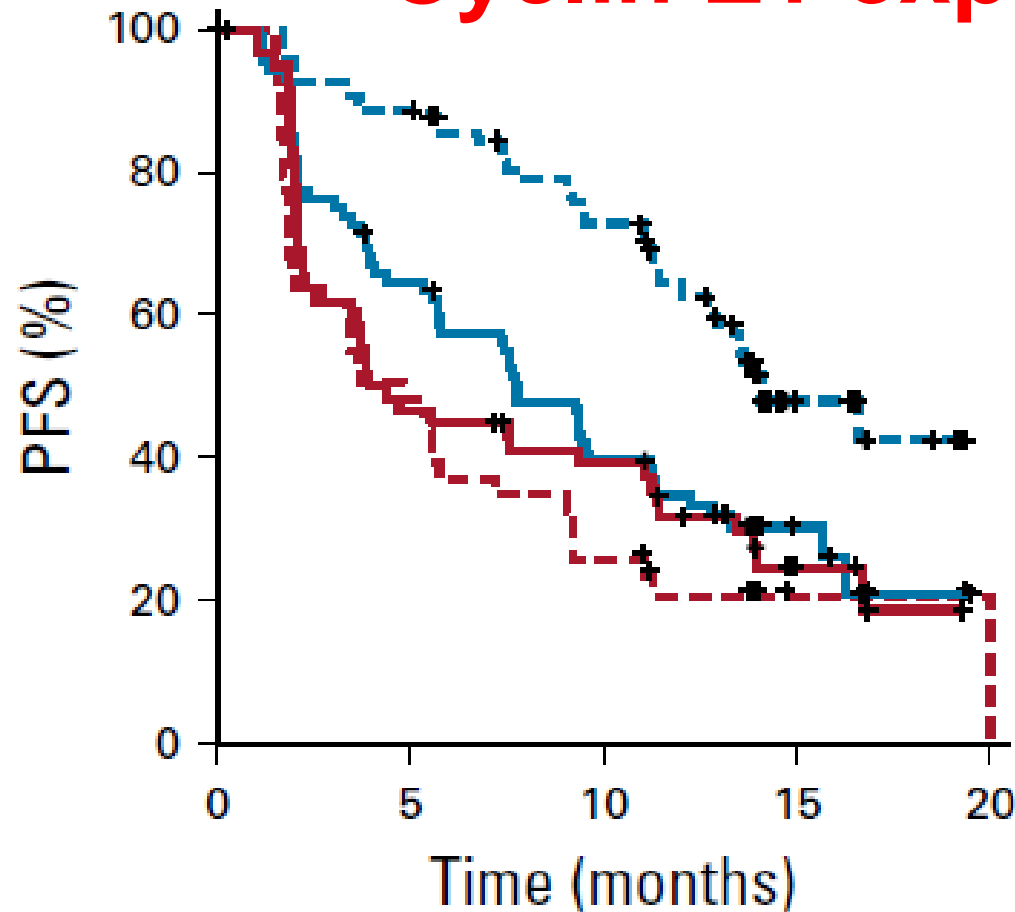
# Association of cell cycle pathway gene expression and efficacy of PAL in combination with FUL



**CCNE1 Low vs High**

**A**

# Cyclin E1 expression Low vs High



- Low: PAL + FUL (n = 103; mPFS, 14.1 months)
- Low: PBO + FUL (n = 48; mPFS, 4.8 months)
- High: PAL + FUL (n = 91; mPFS, 7.6 months)
- High: PBO + FUL (n = 60; mPFS, 4.0 months)

Low: HR, 0.32 (95% CI, 0.20 to 0.50)

High: HR, 0.85 (95% CI, 0.58 to 1.26)

Interaction  $P = .00238$

## Cyclin E1 Expression and Palbociclib Efficacy in Previously Treated Hormone Receptor-Positive Metastatic Breast Cancer

Nicholas C. Turner, MD, PhD<sup>1</sup>; Yuan Liu, PhD<sup>2</sup>; Zhou Zhu, PhD<sup>2</sup>; Sherene Loi, MD, PhD<sup>3</sup>; Marco Colleoni, MD<sup>4</sup>; Sibylle Loibl, MD, PhD<sup>5</sup>; Angela DeMichele, MD, MSCE<sup>6</sup>; Nadia Harbeck, MD, PhD<sup>7</sup>; Fabrice André, MD, PhD<sup>8</sup>; Mohamed Amine Bayar, MSc<sup>8</sup>; Stefan Michiels, PhD<sup>9</sup>; Zhe Zhang, MS<sup>2</sup>; Carla Giorgetti, PhD<sup>9</sup>; Monica Arnedos, MD<sup>9</sup>; Cynthia Huang Bartlett, MD<sup>10</sup>; and Massimo Cristofanilli, MD<sup>11</sup>

No. at risk:

Low: PAL + FUL

Low: PBO + FUL

High: PAL + FUL

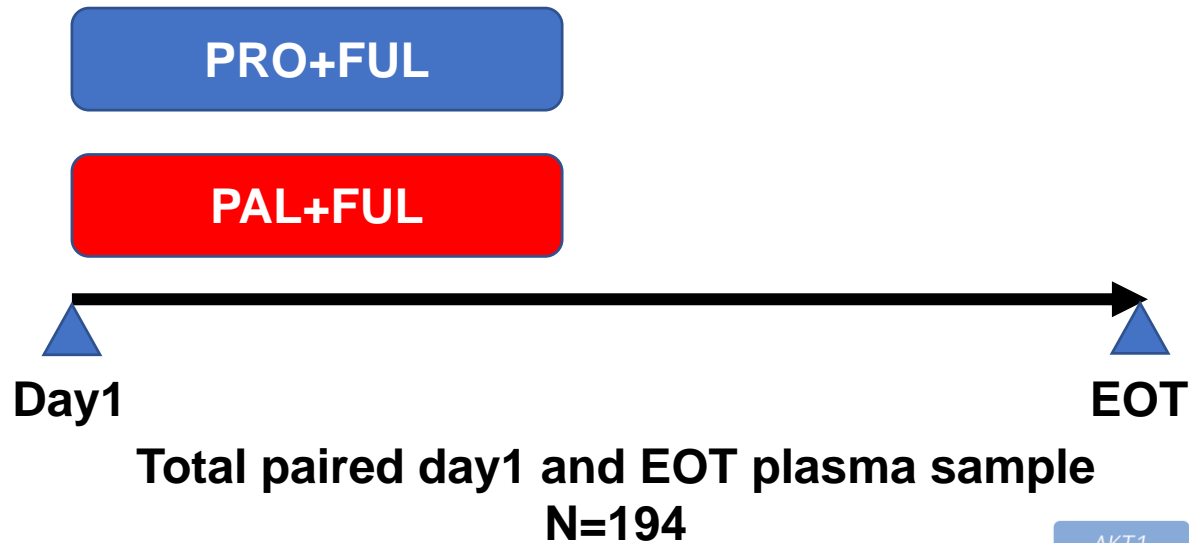
High: PBO + FUL

**High CCNE1 mRNA expression was associated with relative resistance to palbociclib**

**Acquired resistance of FUL + Palbociclib**

# Acquired resistance to palbociclib + FUL

## Paloma3



87 genes associated with **mammalian cell cycle**, **ER signaling**, or **breast cancer biology** were hybridized to the library. All coding regions were covered with the exception of 7 genes (**BRAF, EGFR, KRAS, NOTCH1, NOTCH2, NOTCH3, and PIK3CA**) where only hotspot mutations were included.

In addition, **matched samples** were analyzed using droplet digital polymerase chain reaction (ddPCR, Bio-Rad<sup>®</sup> QX200) for **PIK3CA** and **ESR1** variants detected at baseline

|             |        |             |       |             |               |               |         |        |
|-------------|--------|-------------|-------|-------------|---------------|---------------|---------|--------|
| AKT1        | CDC25A | E2F2        | FBXW7 | HDAC5       | MYCL          | PA2G4         | PPP2CB  | SMAD4  |
| AKT2        | CDH1   | E2F3        | FOXA1 | HRAS        | MYCN          | PELP1         | PPP2R1A | TBL1XR |
| BCAR1       | CDH2   | E2F4        | GATA3 | IGF1        | NOTCH1        | PGR           | PTEN    | TFDP1  |
| <b>BRAF</b> | CDK4   | E2F5        | GPER1 | <b>KRAS</b> | <b>NOTCH2</b> | PHB2          | PPP2R1B | TFF1   |
| BRCA1       | CDK6   | E2F6        | GPS2  | MAP2K4      | <b>NOTCH3</b> | <b>PIK3CA</b> | RB1     | TP53   |
| CCND1       | CDKN1A | <b>EGFR</b> | GSK3B | MAP3K1      | NROB1         | PIK3R1        | RBL1    | TPRX1  |
| CCND2       | CDKN1B | ERBB2       | HDAC1 | MED1        | NROB2         | PPP1CA        | RBL2    | WNT7A  |
| CCND3       | CDKN2A | ESR1        | HDAC2 | MTA1        | NRAS          | PPP1CB        | RUNX    |        |
| CCNE1       | CDKN2B | ESR2        | HDAC3 | MYB         | NRG1          | PPP1CC        | SKP1    |        |
| CCNE2       | E2F1   | FABPS       | HDAC4 | MYC         | NRIP1         | PPP2CA        | SMAD3   |        |

# Change From Baseline in ctDNA Mutation Frequency

| Gene          | PAL + FUL<br>(n=127)         |                            |                 | PBO + FUL<br>(n=67)          |                            |                |
|---------------|------------------------------|----------------------------|-----------------|------------------------------|----------------------------|----------------|
|               | Day 1,<br>n (%) <sup>†</sup> | EOT,<br>n (%) <sup>†</sup> | <i>P</i> value* | Day 1,<br>n (%) <sup>†</sup> | EOT,<br>n (%) <sup>†</sup> | <i>P</i> value |
| <i>PIK3CA</i> | 47 (37)                      | 51 (40)                    | 0.42            | 19 (28)                      | 22 (33)                    | 0.45           |
| <i>ESR1</i>   | 36 (28)                      | 45 (35)                    | 0.15            | 19 (28)                      | 24 (36)                    | 0.18           |
| <i>TP53</i>   | 30 (24)                      | 33 (26)                    | 0.45            | 23 (34)                      | 25 (37)                    | 0.68           |
| <i>RB1</i>    | 2 (2)                        | 9 (7)                      | 0.02            | 2 (3)                        | 2 (3)                      | 1              |
| <i>PTEN</i>   | 5 (4)                        | 7 (6)                      | 0.48            | 3 (4)                        | 3 (4)                      | 1              |
| <i>AKT1</i>   | 7 (6)                        | 7 (6)                      | NA              | 2 (3)                        | 2 (3)                      | NA             |

- Gene level mutation analysis of EOT plasma revealed no significant difference between palbociclib plus fulvestrant vs placebo plus fulvestrant, with the exception of *RB1*.
  - The most commonly observed mutations were in *PIK3CA*, *ESR1*, and *TP53*



# CONCLUSIONS

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- The low prevalence of mutations in cell cycle control genes at EOT suggests that **mutations in cell cycle genes may not be a common mechanism of resistance to CDK4/6 inhibitors in HR+/HER2– advanced breast cancer previously treated with endocrine therapy.**
- No difference in genomic landscape was observed between palbociclib plus fulvestrant and fulvestrant plus placebo at the time of progression, which suggests that the **main mechanism of disease progression is endocrine resistance.**

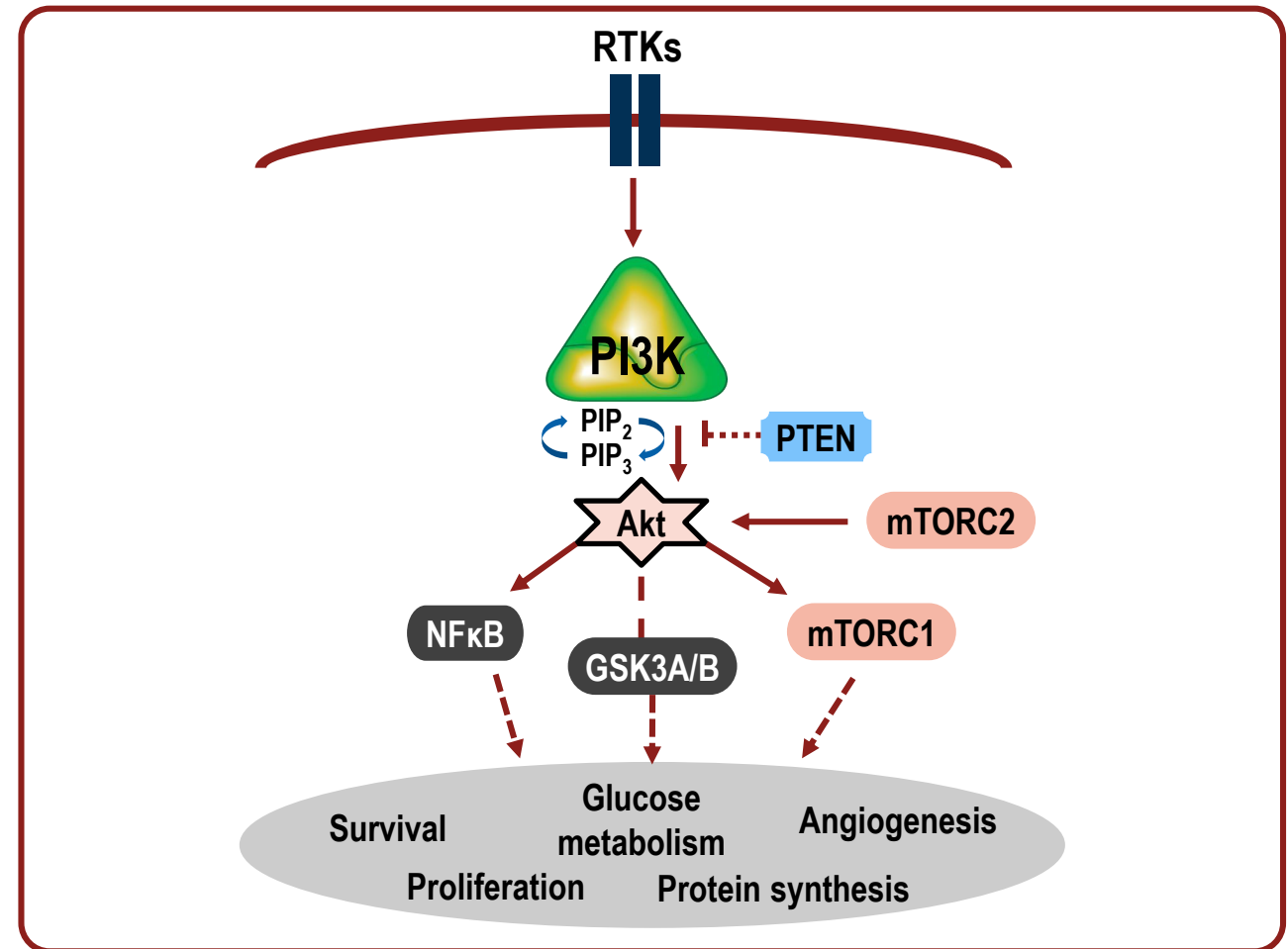
# **Endocrine resistance mechanism**

**PIK3CA mutation**

**ESR1 mutation**

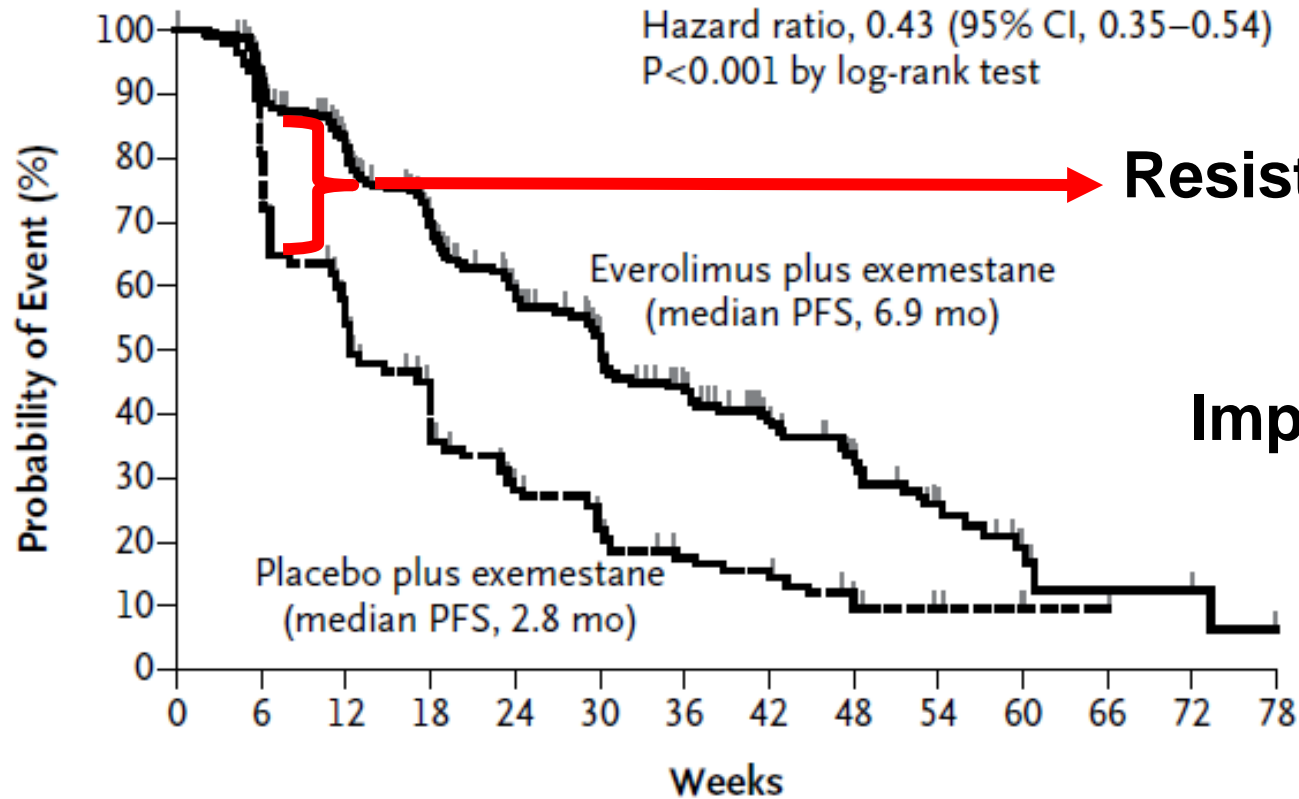
# The PI3K pathway

- PI3K is involved in the production of PIP<sub>3</sub>, which activates Akt<sup>1</sup>
- **PI3K pathway hyperactivation is implicated in malignant transformation, cancer progression and endocrine therapy resistance<sup>1–4</sup>**
- Around 40% of patients with HR+, HER2– breast cancer present an activating tumor mutation of *PIK3CA*<sup>5,6</sup> that leads to PI3K activation



# Acquired resistance cases to nsAI

## A Local Assessment



Resistance to Exemestane (about 40%)



Improve the PFS by **mTOR inhibitor**

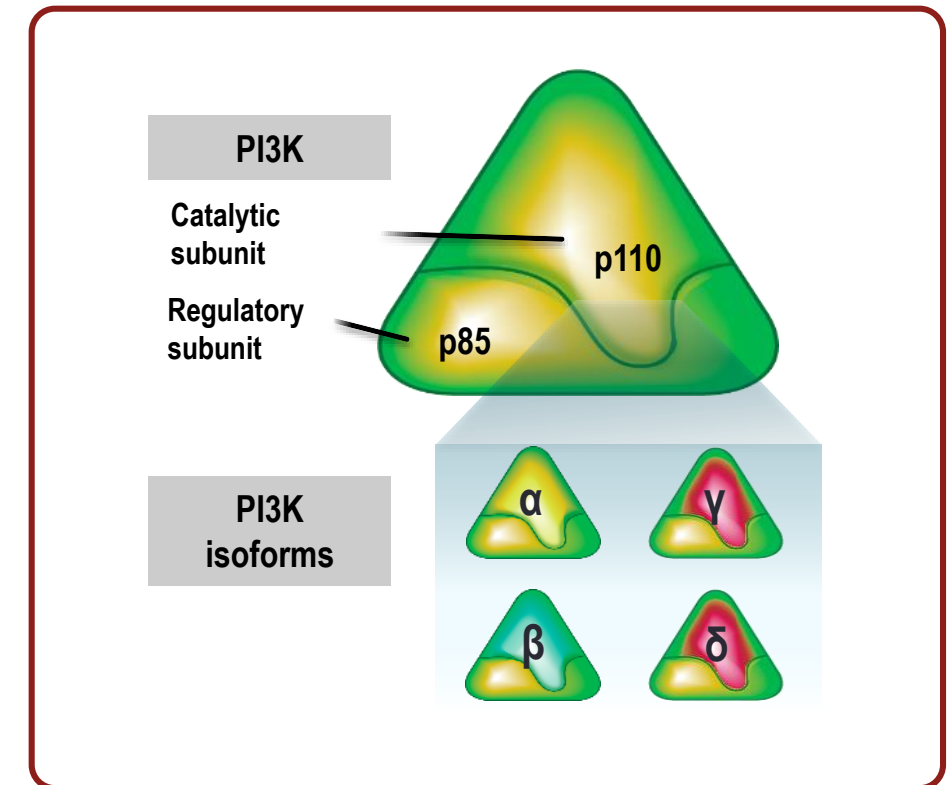
**HR:0.43**

### No. at Risk

|            |     |     |     |     |     |     |    |    |    |    |   |   |   |   |
|------------|-----|-----|-----|-----|-----|-----|----|----|----|----|---|---|---|---|
| Everolimus | 485 | 398 | 294 | 212 | 144 | 108 | 75 | 51 | 34 | 18 | 8 | 3 | 3 | 0 |
| Placebo    | 239 | 177 | 109 | 70  | 36  | 26  | 16 | 14 | 9  | 4  | 3 | 1 | 0 | 0 |

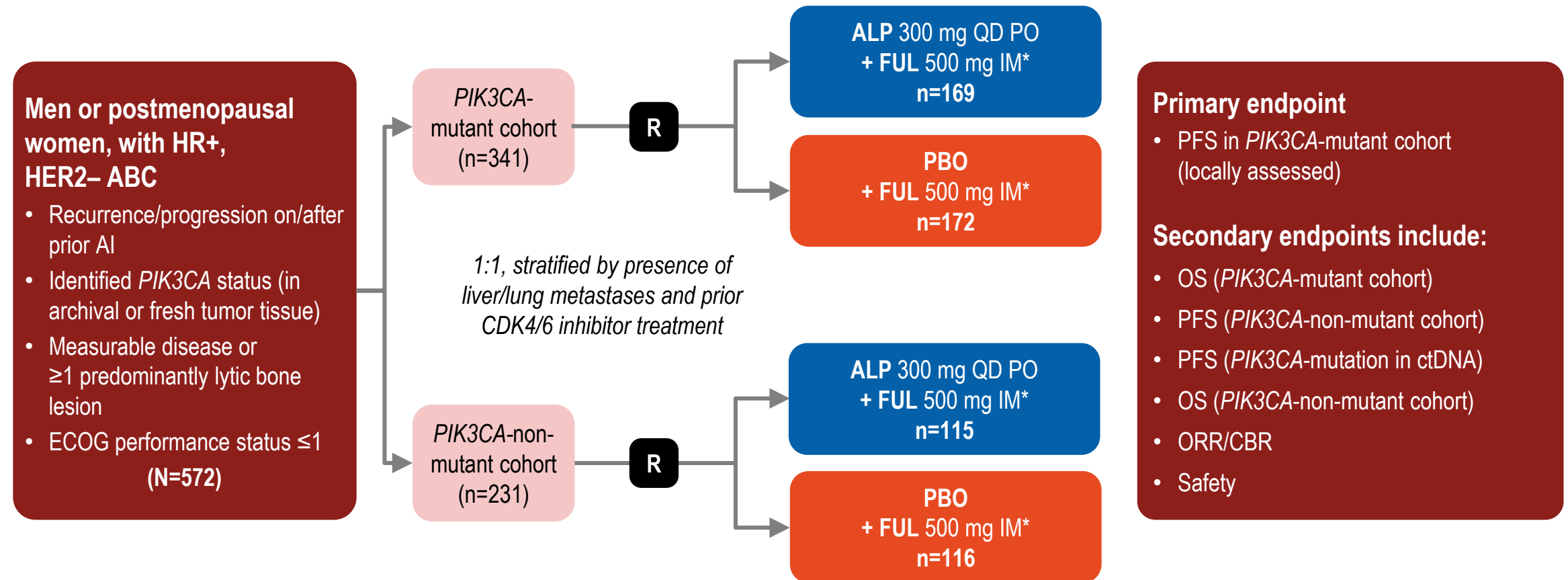
# PIK3CA mutations and Alpelisib

- PI3K includes catalytic and regulatory subunits; *PIK3CA* encodes the  $\alpha$ -isoform of catalytic subunit<sup>1,2</sup>
  - Activation of this subunit can lead to pathway hyperactivation
- Pan-PI3K inhibitors target multiple isoforms of PI3K, leading to excess toxicities and marginal efficacy<sup>3–5</sup>
- **Alpelisib (BYL719) is a specific inhibitor of the PI3K  $\alpha$ -isoform<sup>6</sup>**
- Alpelisib has demonstrated antitumor activity in preclinical models harboring *PIK3CA* alterations<sup>6</sup>



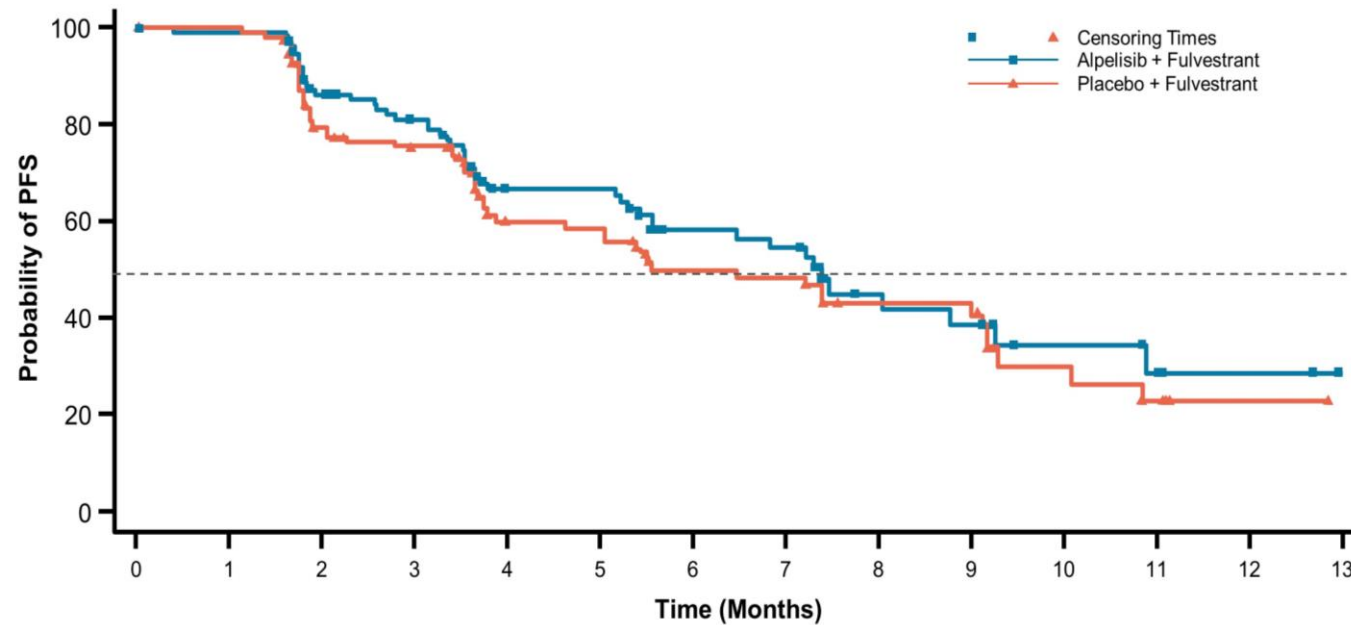
There is a strong rationale for targeting the  $\alpha$ -isoform of PI3K in patients with a *PIK3CA* mutation

# SOLAR-1: A Phase III randomized, controlled trial (NCT02437318)



# Proof of Concept: PFS in the *PIK3CA*-non-mutant cohort

*Proof of concept criteria were not met in the *PIK3CA*-non-mutant cohort*



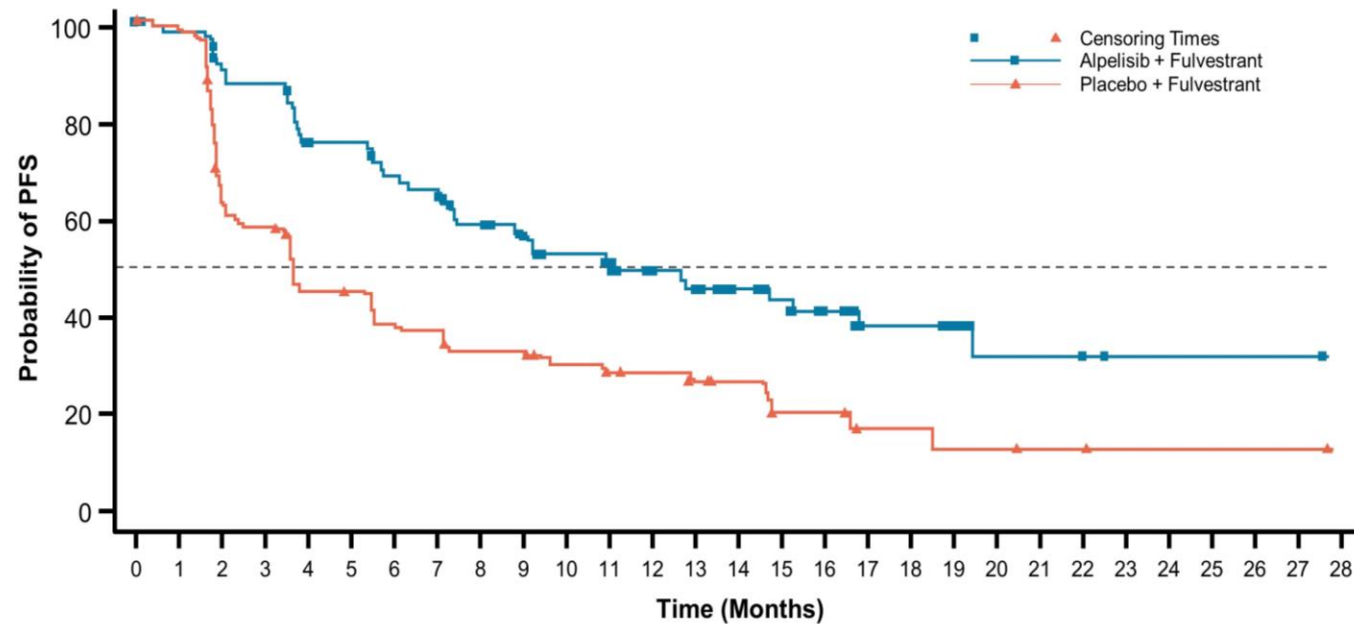
Number of subjects still at risk

|                  | 0   | 1   | 2  | 3  | 4  | 5  | 6  | 7  | 8  | 9  | 10 | 11 | 12 | 13 |
|------------------|-----|-----|----|----|----|----|----|----|----|----|----|----|----|----|
| Alpelisib + Fulv | 115 | 110 | 86 | 76 | 48 | 48 | 31 | 29 | 14 | 12 | 7  | 5  | 3  | 0  |
| Placebo + Fulv   | 116 | 110 | 79 | 72 | 43 | 42 | 31 | 30 | 20 | 20 | 8  | 5  | 1  | 0  |

| Data cut-off:<br>Dec 23, 2016 | Alpelisib +<br>fulvestrant<br>(N=115) | Placebo +<br>fulvestrant<br>(N=116) |
|-------------------------------|---------------------------------------|-------------------------------------|
| Number of PFS events, n (%)   | 49 (42.6)                             | 57 (49.1)                           |
| Progression                   | 47 (40.9)                             | 57 (49.1)                           |
| Death                         | 2 (1.7)                               | 0                                   |
| Censored                      | 66 (57.4)                             | 59 (50.9)                           |
| Median PFS<br>(95% CI)        | 7.4<br>(5.4–9.3)                      | 5.6<br>(3.9–9.1)                    |
| HR (95% CI)                   | 0.85 (0.58–1.25)                      |                                     |
| Posterior probability HR<1, % | 79.4                                  |                                     |

- Proof of concept criteria: estimated hazard ratio  $\leq 0.60$  and posterior probability  $\geq 90\%$  that the hazard ratio was  $< 1$
- Patients with *PIK3CA*-non-mutant disease were followed up for safety alongside the *PIK3CA*-mutant cohort

# BIRC audit: Centrally assessed PFS in the *PIK3CA*-mutant cohort



| Data cut-off:<br>Jun 12, 2018 | Alpelisib +<br>fulvestrant<br>(N=85) | Placebo +<br>fulvestrant<br>(N=88) |
|-------------------------------|--------------------------------------|------------------------------------|
| Number of PFS events, n (%)   | 43 (50.6)                            | 63 (71.6)                          |
| Median PFS<br>(95% CI)        | 11.1<br>(7.3–16.8)                   | 3.7<br>(2.1–5.6)                   |
| HR (95% CI)                   | 0.48 (0.32–0.71)                     |                                    |

## Number of subjects still at risk

| Time (Months)    | 0  | 1  | 2  | 3  | 4  | 5  | 6  | 7  | 8  | 9  | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 | 25 | 26 | 27 | 28 |
|------------------|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| Alpelisib + Fulv | 85 | 77 | 69 | 66 | 56 | 55 | 49 | 47 | 40 | 37 | 32 | 31 | 26 | 24 | 21 | 19 | 16 | 12 | 12 | 11 | 3  | 3  | 3  | 1  | 1  | 1  | 1  | 1  | 0  |
| Placebo + Fulv   | 88 | 83 | 53 | 46 | 34 | 33 | 28 | 27 | 23 | 23 | 19 | 17 | 16 | 14 | 12 | 7  | 7  | 4  | 4  | 3  | 3  | 2  | 2  | 1  | 1  | 1  | 1  | 1  | 0  |

- Blinded independent review committee audit of 50% of randomized patients in the *PIK3CA*-mutant cohort (n=173)
- A full BIRC review of all patient data in the *PIK3CA*-mutant cohort was not required, based on prespecified thresholds



# Endocrine resistance mechanism

**PIK3CA mutation**

**Resistance to FUL**



Improve by **PIK3CA inhibitor (Alpelisib)**

**ESR1 mutation**

# Endocrine resistance mechanism

**PIK3CA mutation**

**ESR1 mutation**

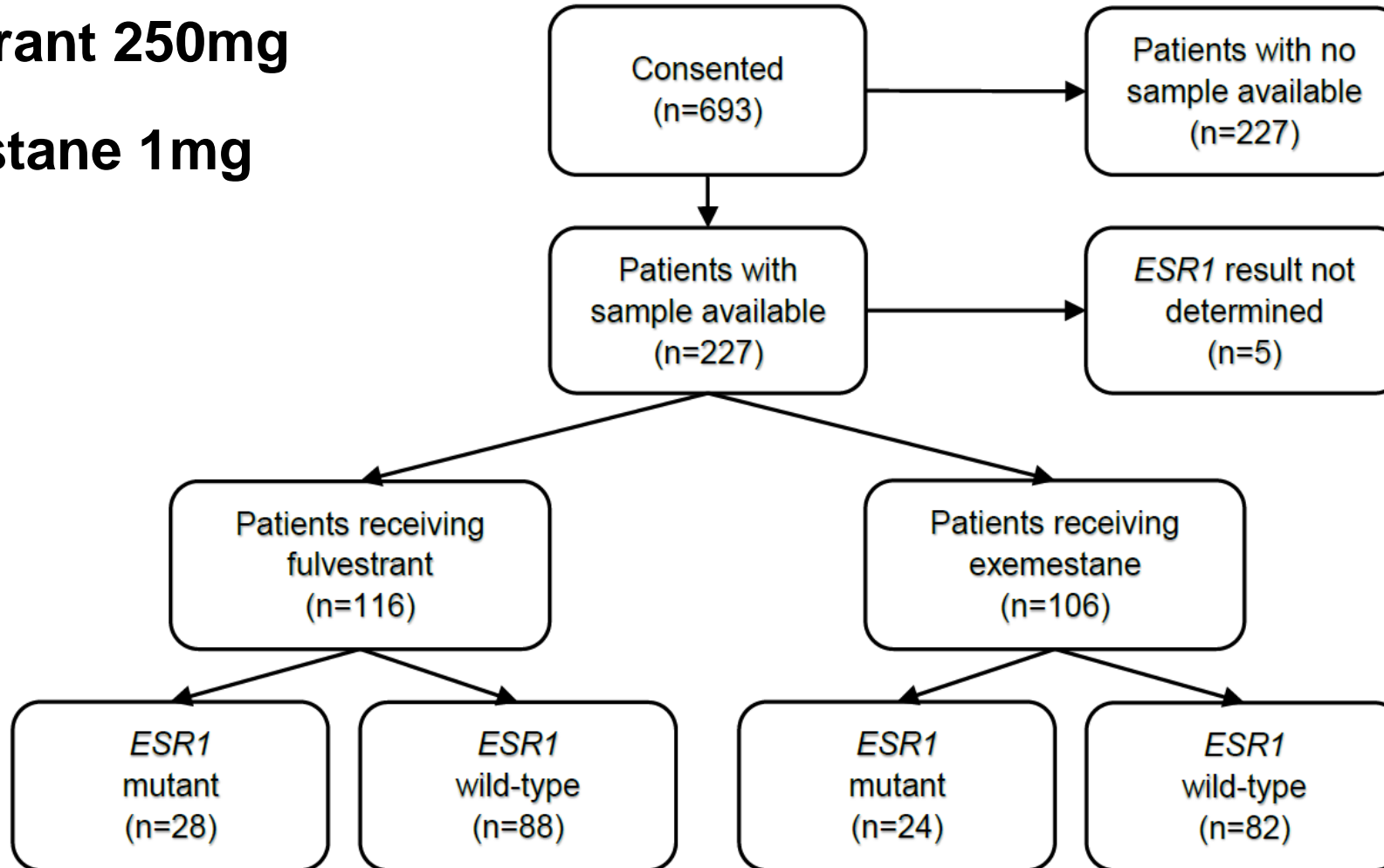
# EFECT study CONSORT diagram

Prior AI

R

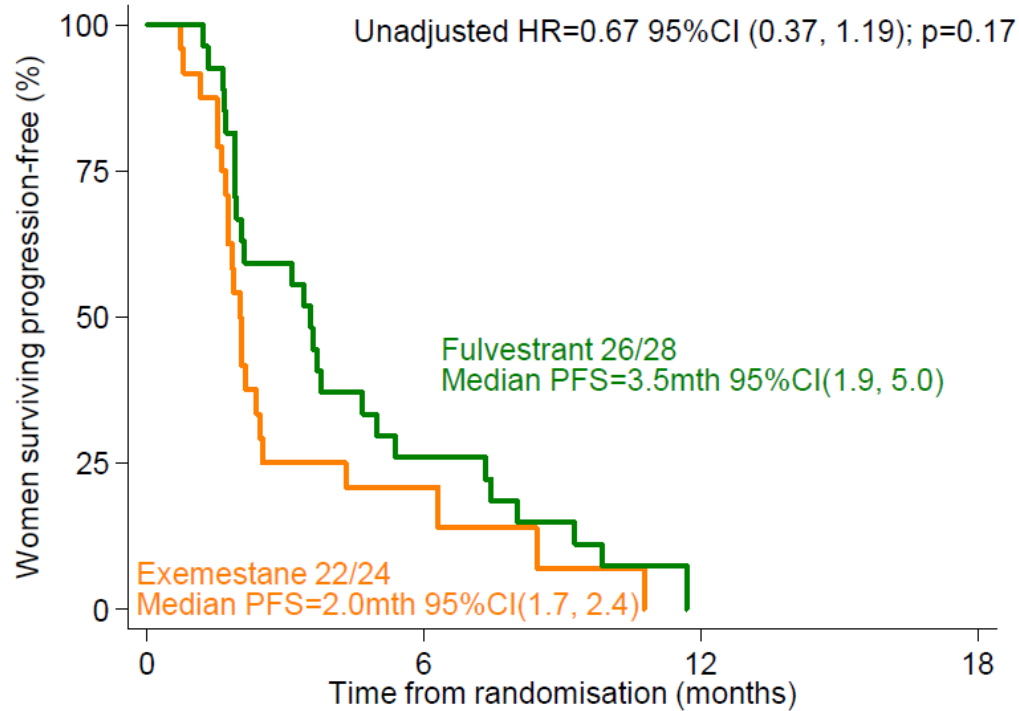
Fulvestrant 250mg

Exemestane 1mg



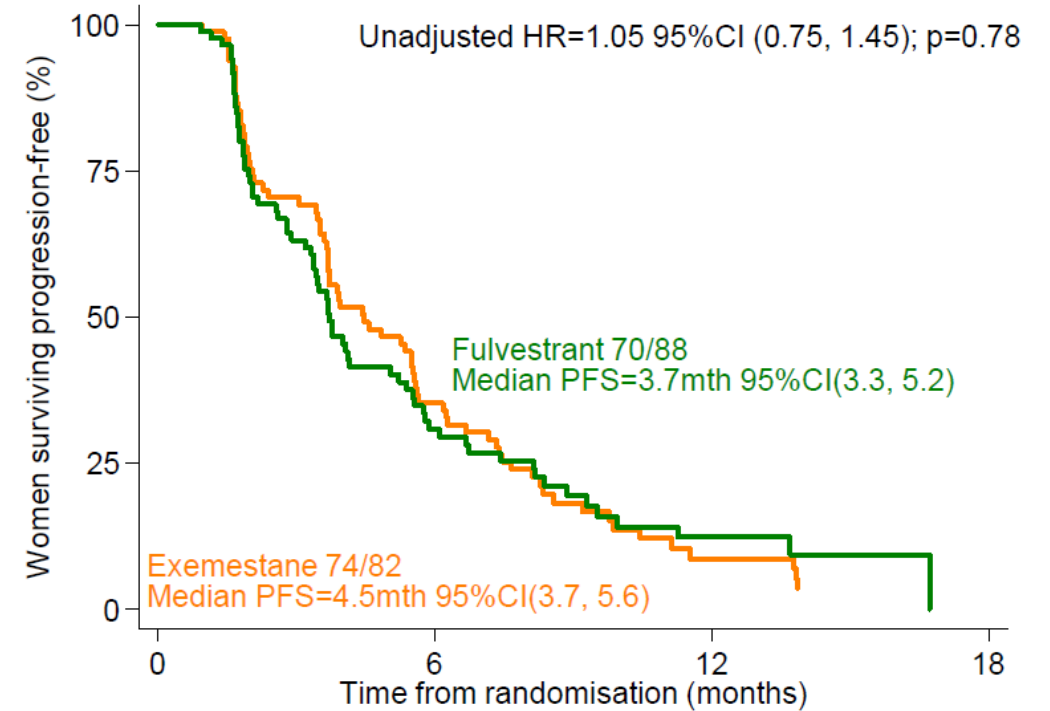
# Detection of ESR1 mutations at baseline is associated with shorter PFS in EFACT

## ESR1 Mutant



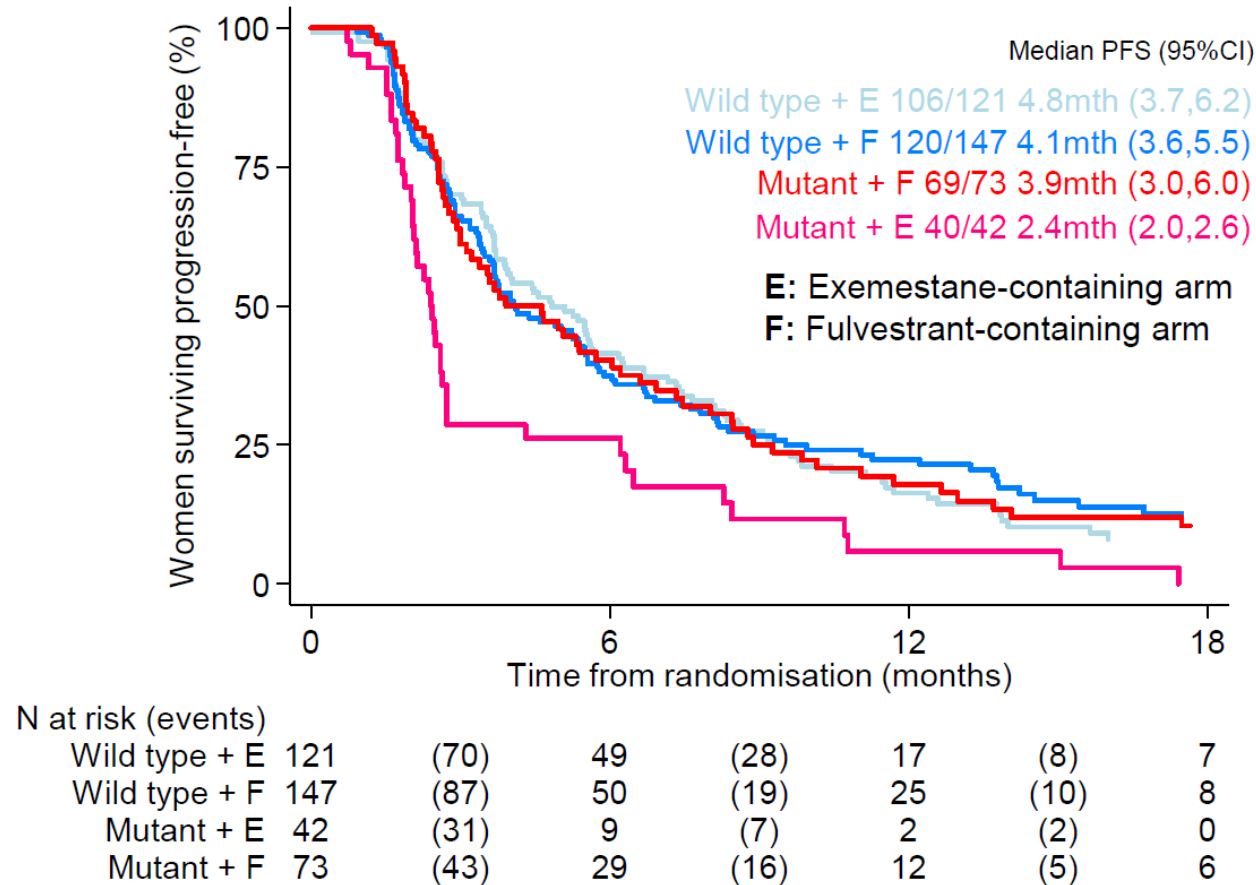
| N at risk (events) |    | 0    | 3 | 6   | 9 | 12  | 15 | 18 |
|--------------------|----|------|---|-----|---|-----|----|----|
| Exemestane         | 24 | (19) | 3 | (3) | 0 | (0) | 0  | 0  |
| Fulvestrant        | 28 | (20) | 7 | (6) | 0 | (0) | 0  | 0  |

## ESR1 Wild type



| N at risk (events) |    | 0    | 3  | 6    | 9 | 12  | 15 | 18 |
|--------------------|----|------|----|------|---|-----|----|----|
| Exemestane         | 82 | (52) | 28 | (19) | 5 | (3) | 2  | 2  |
| Fulvestrant        | 88 | (56) | 23 | (12) | 6 | (2) | 0  | 0  |

# SoFEA and EFECT meta-analysis for baseline detection of ESR1 mutations



Patients with ESR1 mutation detected on fulvestrant had improved PFS compared to exemestane (HR=0.59, 95%CI: 0.39, 0.89; p=0.01). For patients with ESR1 wild type there was no difference in PFS between treatments (HR=1.05, 95%CI: 0.81, 1.37; p=0.69). Interaction test p=0.02

# Endocrine resistance mechanism

**PIK3CA mutation**

**ESR1 mutation**

**Biomarker of AI resistance**

# **Other therapies to endocrine resistance**

**HDAC inhibitor (Entinostat)**

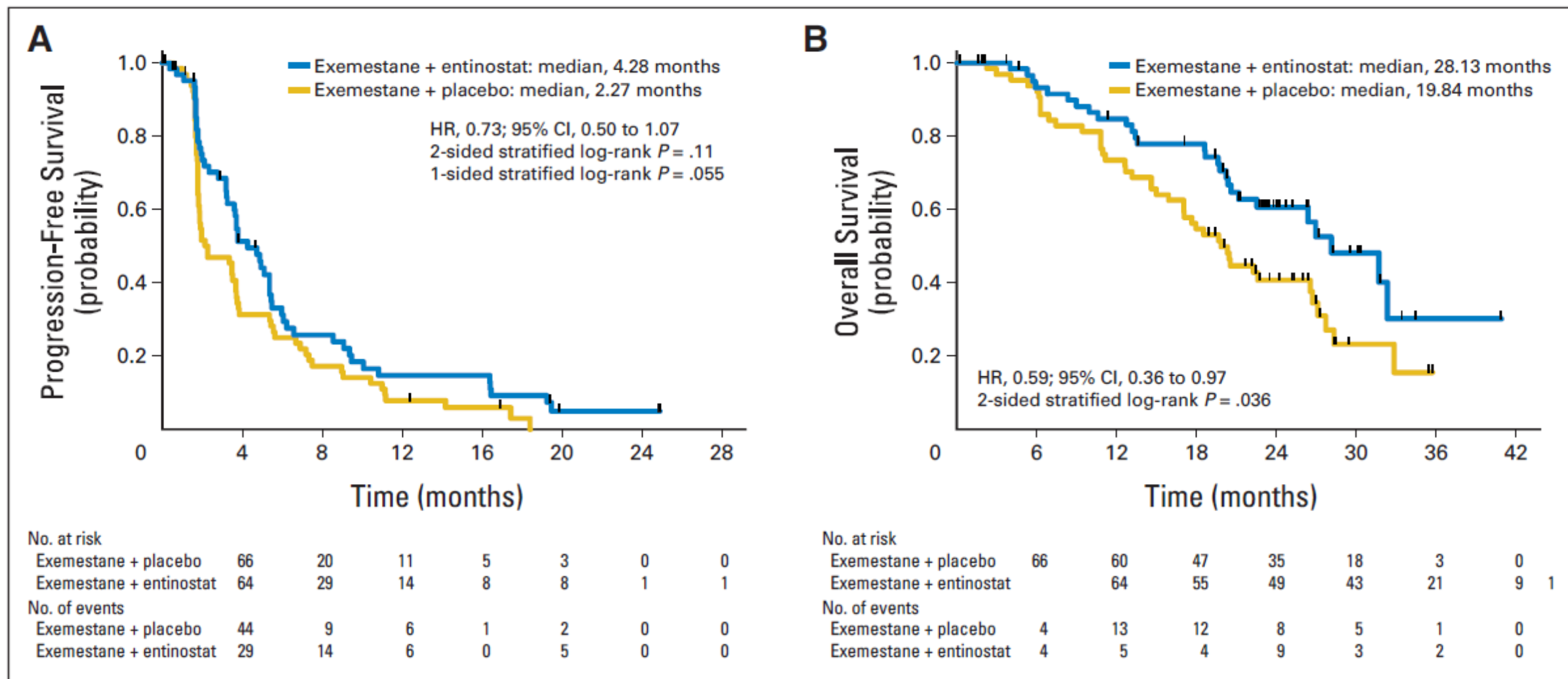
**IGF mAb (Xentuzumab)**

# **Other therapies to endocrine resistance**

**HDAC inhibitor (Entinostat)**

**IGF mAb (Xentuzumab)**

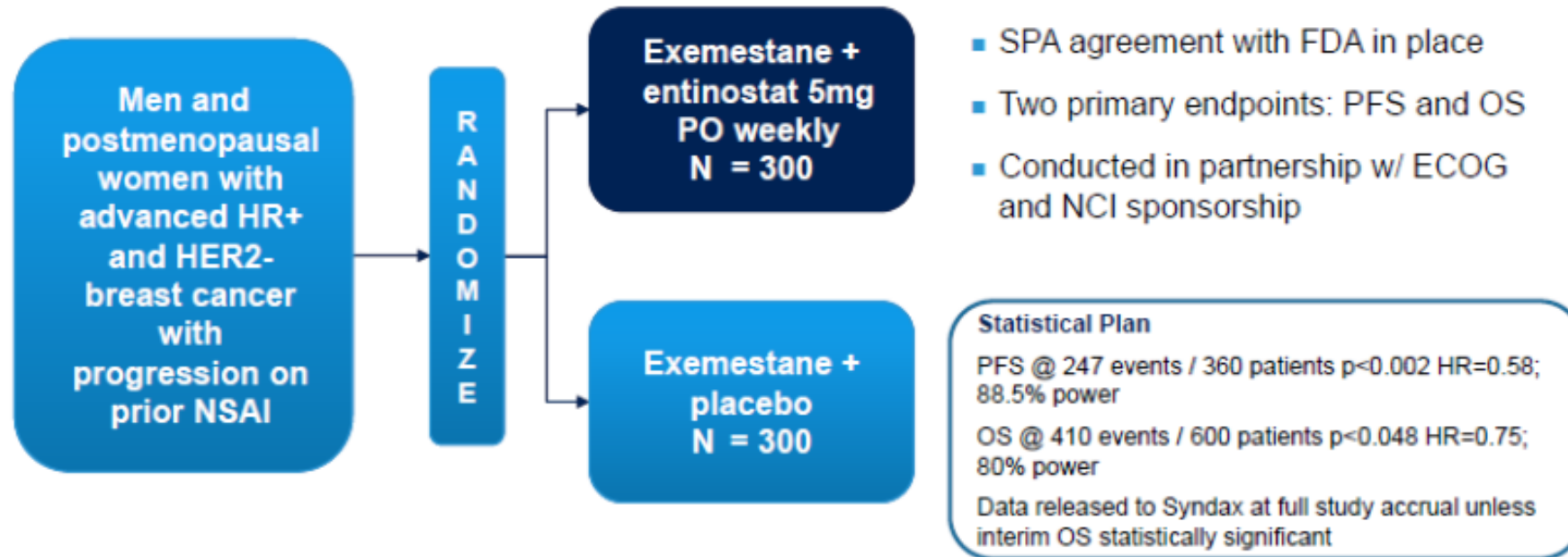




**Fig 2.** Kaplan-Meier estimates of (A) progression-free survival (PFS) and (B) overall survival (OS). (A) Vertical tick marks represent the PFS time of patients without progressive disease. (B) Vertical tick marks represent the survival time of patients alive or lost to follow-up as of the last contact.

# Global Phase 3

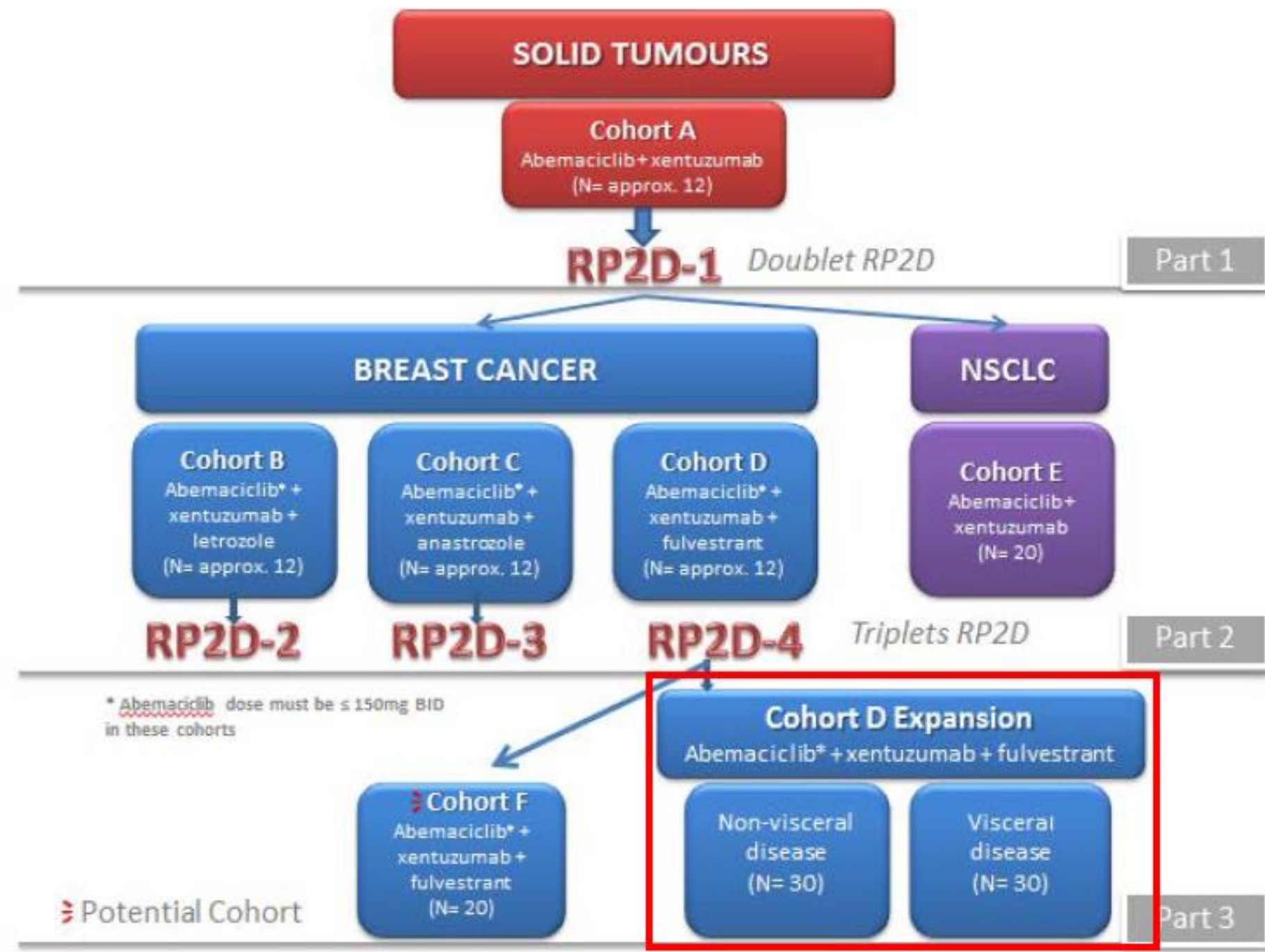
Clinical Trial can be considered successful if either primary endpoint (PFS or OS) is positive



# **Other therapies to endocrine resistance**

**HDAC inhibitor (Entinostat)**

**IGF mAb (Xentuzumab)**



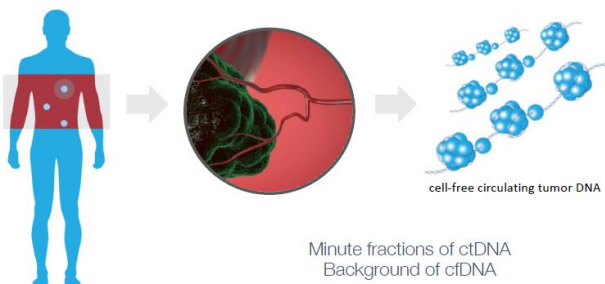
## Application of Cell-free DNA Analysis to Cancer Treatment

Ryan B. Corcoran, M.D., Ph.D., and Bruce A. Chabner, M.D.

Tumor biopsies represent the standard for cancer DNA (cfDNA) diagnosis and the primary method for molecular testing to guide the selection of from plasma, are rapidly emerging as an important and minimally invasive adjunct to standard tumor biopsies and, in some cases, even a potential alternative approach. Liquid biopsy is becoming a valuable tool for molecular testing, for new insights into tumor heterogeneity, and for cancer detection and monitoring. Here, we review the current and potential clinical applications of cfDNA analysis in patients with cancer (see video).

NEJM 379;18 November 1, 2018

Tumor DNA is Present in Blood at Exceedingly Low Concentrations



## All NCCN Somatic Genomic Targets with a Single Test

## Point Mutations – 73 Genes

|                      |                     |                     |              |              |               |               |               |               |                      |
|----------------------|---------------------|---------------------|--------------|--------------|---------------|---------------|---------------|---------------|----------------------|
| <i>AKT1</i>          | <i>ALK</i>          | <i>APC</i>          | <i>AR</i>    | <i>ARAF</i>  | <i>ARID1A</i> | <i>ATM</i>    | <i>BRAF</i>   | <i>BRCA1</i>  | <i>BRCA2</i>         |
| <i>CCND1</i>         | <i>CCND2</i>        | <i>CCNE1</i>        | <i>CDH1</i>  | <i>CDK4</i>  | <i>CDK6</i>   | <i>CDKN2A</i> | <i>CTNNB1</i> | <i>DDR2</i>   | <i>EGFR</i>          |
| <i>ERBB2 (HER2)</i>  | <i>ESR1</i>         | <i>EZH2</i>         | <i>FBXW7</i> | <i>FGFR1</i> | <i>FGFR2</i>  | <i>FGFR3</i>  | <i>GATA3</i>  | <i>GNA11</i>  | <i>GNAQ</i>          |
| <i>GNAS</i>          | <i>GNF1A</i>        | <i>HRAS</i>         | <i>IDH1</i>  | <i>IDH2</i>  | <i>JAK2</i>   | <i>JAK3</i>   | <i>KIT</i>    | <i>KRAS</i>   | <i>MAP2K1 (MEK1)</i> |
| <i>MAP2K2 (MEK2)</i> | <i>MAPK1 (ERK2)</i> | <i>MAPK3 (ERK1)</i> | <i>MET</i>   | <i>MLH1</i>  | <i>MPL</i>    | <i>MTOR</i>   | <i>MYC</i>    | <i>NF1</i>    | <i>NFE2L2</i>        |
| <i>NOTCH1</i>        | <i>NPM1</i>         | <i>NRAS</i>         | <i>NTRK1</i> | <i>NTRK3</i> | <i>PDGFRA</i> | <i>PIK3CA</i> | <i>PTEN</i>   | <i>PTPN11</i> | <i>RAF1</i>          |
| <i>RB1</i>           | <i>RET</i>          | <i>RHEB</i>         | <i>RHOA</i>  | <i>RIT1</i>  | <i>ROS1</i>   | <i>SMAD4</i>  | <i>SMO</i>    | <i>STK11</i>  | <i>TERT**</i>        |
| <i>TP53</i>          | <i>TSC1</i>         | <i>VHL</i>          |              |              |               |               |               |               |                      |

\*\* Includes *TERT* promoter region

## Indels – 23 Genes

|             |                 |               |              |              |               |               |             |              |              |
|-------------|-----------------|---------------|--------------|--------------|---------------|---------------|-------------|--------------|--------------|
| <i>ATM</i>  | <i>APC</i>      | <i>ARID1A</i> | <i>BRCA1</i> | <i>BRCA2</i> | <i>CDH1</i>   | <i>CDKN2A</i> | <i>EGFR</i> | <i>ERBB2</i> | <i>GATA3</i> |
| <i>KIT</i>  | <i>MET</i> ex14 | <i>MLH1</i>   | <i>MTOR</i>  | <i>NF1</i>   | <i>PDGFRA</i> | <i>PTEN</i>   | <i>RB1</i>  | <i>SMAD4</i> | <i>STK11</i> |
| <i>TP53</i> | <i>TSC1</i>     | <i>VHL</i>    |              |              |               |               |             |              |              |

## Amplifications – 18 Genes

|              |              |              |              |              |             |               |               |              |
|--------------|--------------|--------------|--------------|--------------|-------------|---------------|---------------|--------------|
| <i>AR</i>    | <i>BRAF</i>  | <i>CCND1</i> | <i>CCND2</i> | <i>CCNE1</i> | <i>CDK4</i> | <i>CDK6</i>   | <i>EGFR</i>   | <i>ERBB2</i> |
| <i>FGFR1</i> | <i>FGFR2</i> | <i>KIT</i>   | <i>KRAS</i>  | <i>MET</i>   | <i>MYC</i>  | <i>PDGFRA</i> | <i>PIK3CA</i> | <i>RAF1</i>  |

## Fusions – 6 Genes

|            |              |              |            |             |              |
|------------|--------------|--------------|------------|-------------|--------------|
| <i>ALK</i> | <i>FGFR2</i> | <i>FGFR3</i> | <i>RET</i> | <i>ROS1</i> | <i>NTRK1</i> |
|------------|--------------|--------------|------------|-------------|--------------|

MSI: High/Low

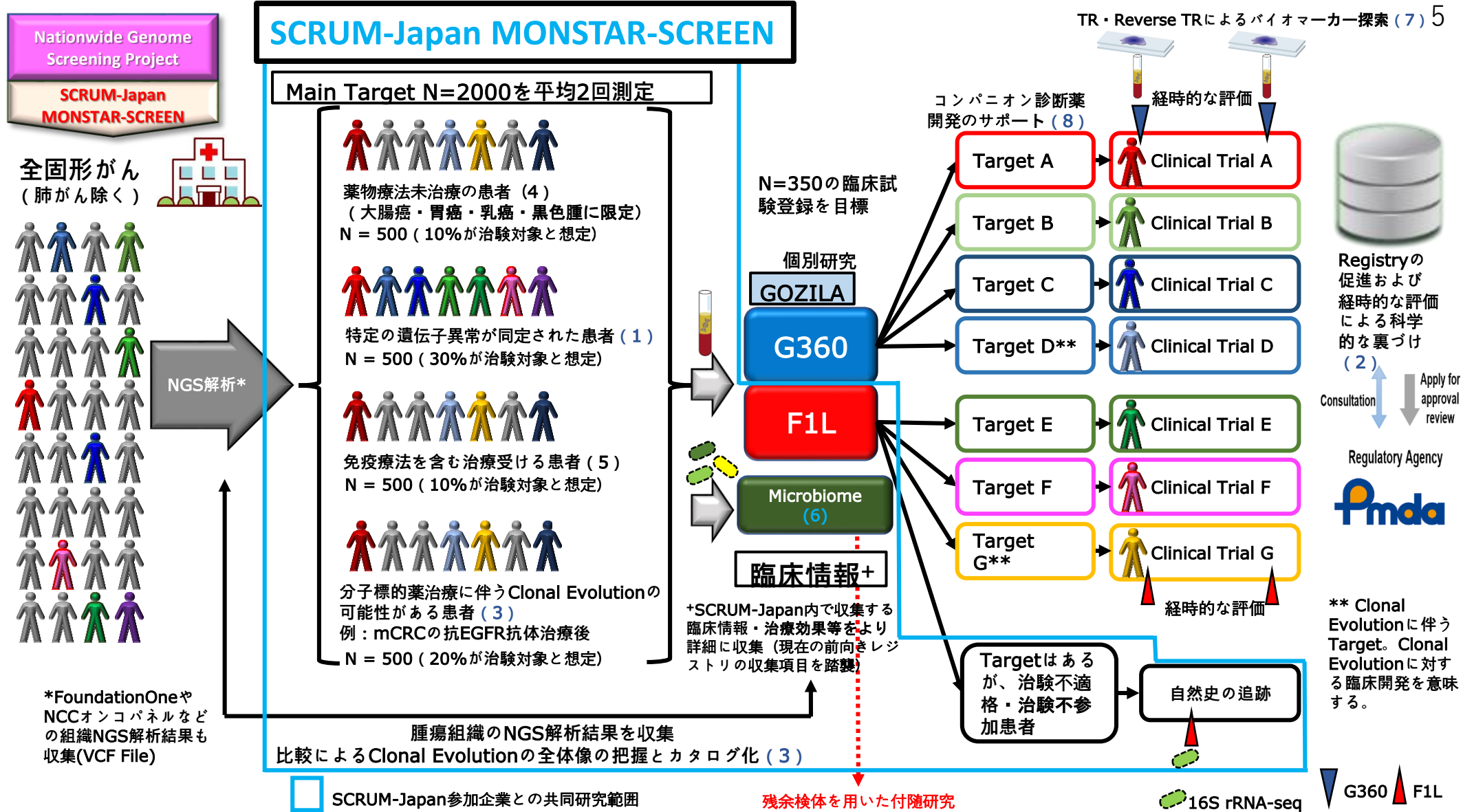
12 |  GUARDANT

# Treatment decision according to liquid biopsy for MBC



# Overall Picture

Strictly Confidential



# Conclusion to near future

- **New approach based on response-guide therapy for PBC**
- **New approach based on innovative technology and new drug development for MBC**